

Review of Priority Queues and Graph Searches

T. M. Murali

August 27, 29 2018

Motivation: Sort a List of Numbers

Sort

INSTANCE: Nonempty list x_1, x_2, \dots, x_n of integers.

SOLUTION: A permutation y_1, y_2, \dots, y_n of x_1, x_2, \dots, x_n such that $y_i \leq y_{i+1}$, for all $1 \leq i < n$.

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- Possible algorithm:
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- To get $O(n \log n)$ running time, each “find minimum” step and each “remove” step must take $O(\log n)$ time.

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Priority Queue

- Store a set S of elements, where each element v has a priority value $\text{key}(v)$.
- Smaller key values \equiv higher priorities.
- Operations supported:
 - ▶ find the element with smallest key
 - ▶ remove the smallest element
 - ▶ insert an element
 - ▶ delete an element
 - ▶ update the key of an element
- Element deletion and key update require knowledge of the position of the element in the priority queue.

Heaps

- Combine benefits of both lists and sorted arrays.
- Conceptually, a heap is a balanced binary tree.
- *Heap order*: For every element v at a node i , the element w at i 's parent satisfies $\text{key}(w) \leq \text{key}(v)$.

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- Alternatively, assume maximum number N of elements is known in advance.
- Store nodes of the heap in an array.
 - ▶ Node at index i has children at indices $2i$ and $2i + 1$ and parent at index $\lfloor i/2 \rfloor$.
 - ▶ Index 1 is the root.
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 - ▶ Index 1 is the root.
 - ▶ How do you know that a node at index i is a leaf? If $2i > n$, where n is the current number of elements in the heap.

Example of a Heap

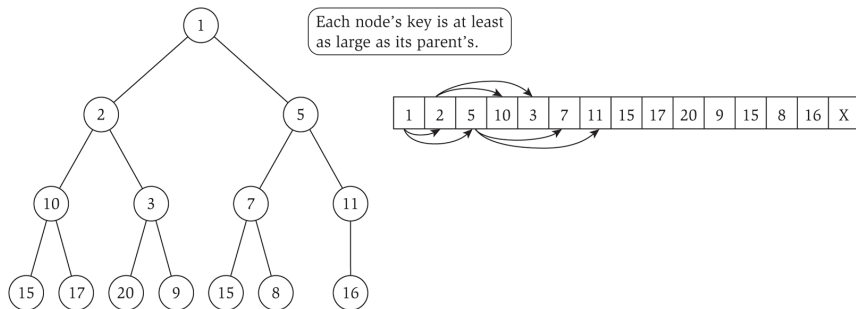


Figure 2.3 Values in a heap shown as a binary tree on the left, and represented as an array on the right. The arrows show the children for the top three nodes in the tree.

Inserting an Element: Heapify-up

- 1 Insert new element at index $n + 1$.
- 2 Fix heap order using Heapify-up($H, n + 1$).

Heapify-up(H, i):

 If $i > 1$ then

 let $j = \text{parent}(i) = \lfloor i/2 \rfloor$

 If $\text{key}[H[i]] < \text{key}[H[j]]$ then

 swap the array entries $H[i]$ and $H[j]$

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- Proof of correctness: read pages 61–62 of your textbook.

Example of Heapify-up

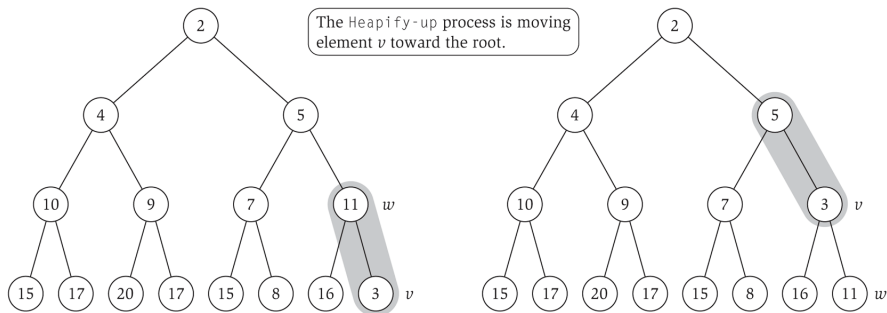


Figure 2.4 The Heapify-up process. Key 3 (at position 16) is too small (on the left). After swapping keys 3 and 11, the heap violation moves one step closer to the root of the tree (on the right).

Running time of Heapify-up

```
Heapify-up(H,i):  
  If  $i > 1$  then  
    let  $j = \text{parent}(i) = \lfloor i/2 \rfloor$   
    If  $\text{key}[H[i]] < \text{key}[H[j]]$  then  
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- Running time of Heapify-up(i) is $O(\log i)$.
 - ▶ Each invocation decreases the second argument by a factor of at least 2.
 - ▶ After k invocations, argument is at most $i/2^k$.
 - ▶ Therefore $i/2^k \geq 1$, which implies that $k \leq \log_2 i$.

Deleting an Element: Heapify-down

- Suppose H has $n + 1$ elements.
- ❶ Delete element at $H[i]$ by moving element at $H[n + 1]$ to $H[i]$.
- ❷ If element at $H[i]$ is too small, fix heap order using $\text{Heapify-up}(H, i)$.
- ❸ If element at $H[i]$ is too large, fix heap order using $\text{Heapify-down}(H, i)$.

```
Heapify-down(H,i):
  Let  $n = \text{length}(H)$ 
  If  $2i > n$  then
    Terminate with  $H$  unchanged
  Else if  $2i < n$  then
    Let  $\text{left} = 2i$ , and  $\text{right} = 2i + 1$ 
    Let  $j$  be the index that minimizes  $\text{key}[H[\text{left}]]$  and  $\text{key}[H[\text{right}]]$ 
  Else if  $2i = n$  then
    Let  $j = 2i$ 
  Endif
  If  $\text{key}[H[j]] < \text{key}[H[i]]$  then
    swap the array entries  $H[i]$  and  $H[j]$ 
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  Endif
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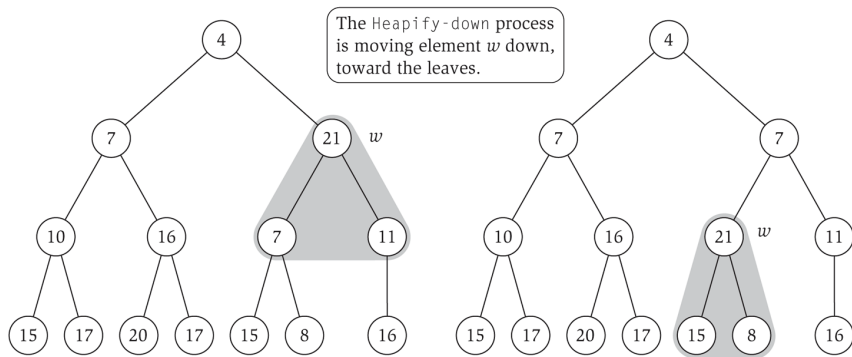


Figure 2.5 The Heapify-down process: Key 21 (at position 3) is too big (on the left). After swapping keys 21 and 7, the heap violation moves one step closer to the bottom of the tree (on the right).

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- Every invocation of Heapify-down increases its second argument by a factor of at least two.

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- Every invocation of Heapify-down increases its second argument by a factor of at least two.
- After k invocations argument must be at least $i2^k \leq n$, which implies that $k \leq \log_2 n/i$. Therefore running time is $O(\log_2 n/i)$.

Sorting Numbers with the Priority Queue

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- Final algorithm:
 - ▶ Insert each number in a priority queue H .
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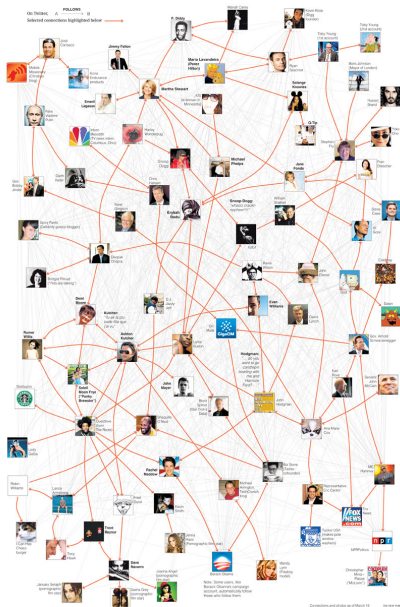
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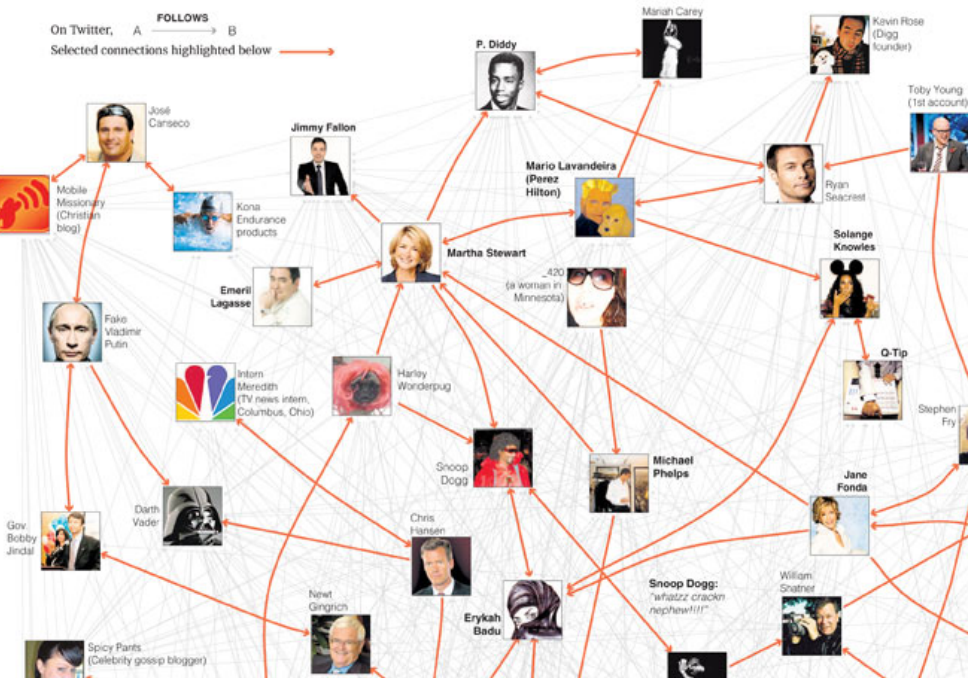
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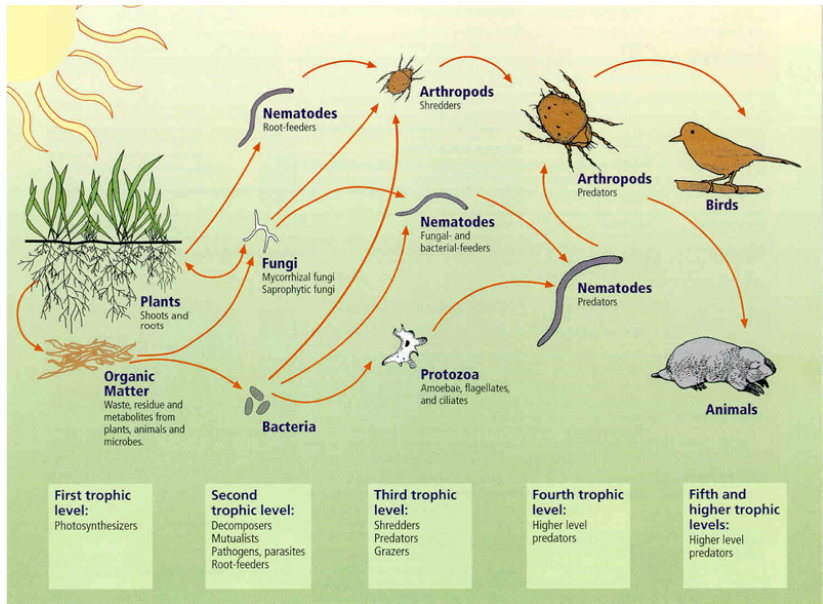
- Final algorithm:
 - ▶ Insert each number in a priority queue H .
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- Each insertion and deletion takes $O(\log n)$ time for a total running time of $O(n \log n)$.

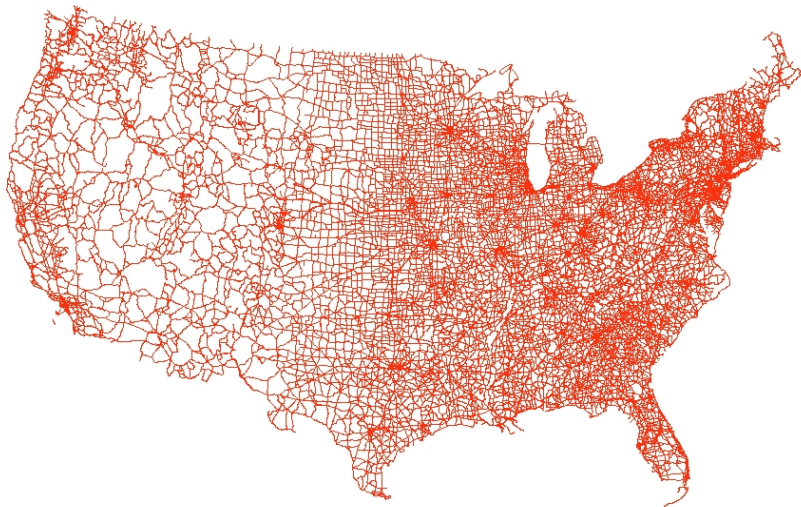




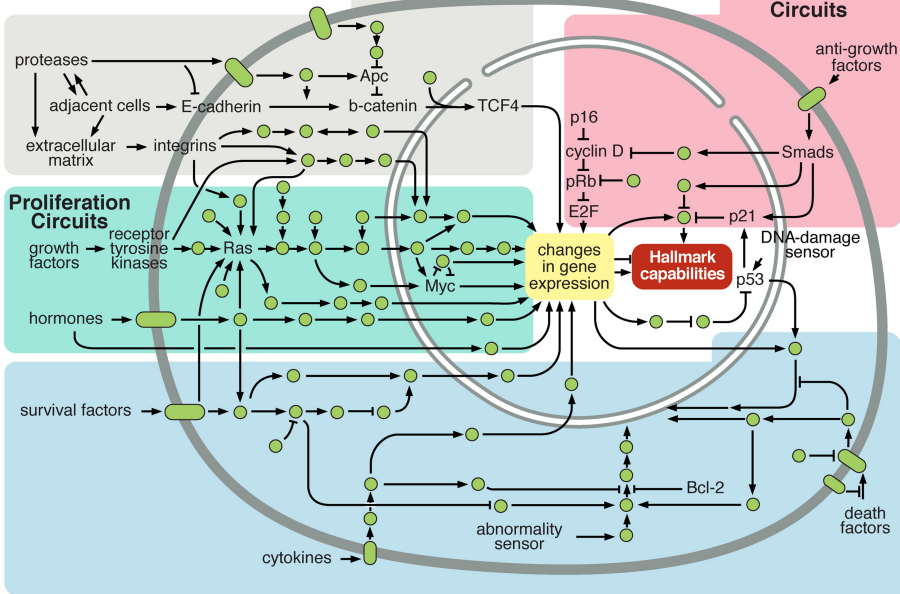
On Twitter, A $\xrightarrow{\text{FOLLOWS}}$ B
 Selected connections highlighted below \rightarrow







Motility Circuits

Cytostasis and
Differentiation
Circuits

Viability Circuits

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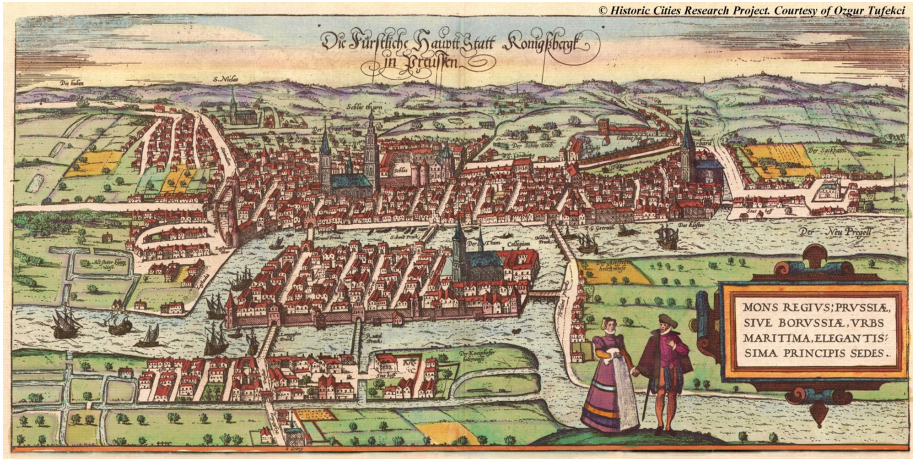
Graphs

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- Useful in a large number of applications: computer networks, the World Wide Web, ecology (food webs), social networks, software systems, job scheduling, VLSI circuits, cellular networks, . . .
- Other examples: gene and protein networks, our bodies (nervous and circulatory systems, brains), buildings, transportation networks, . . .

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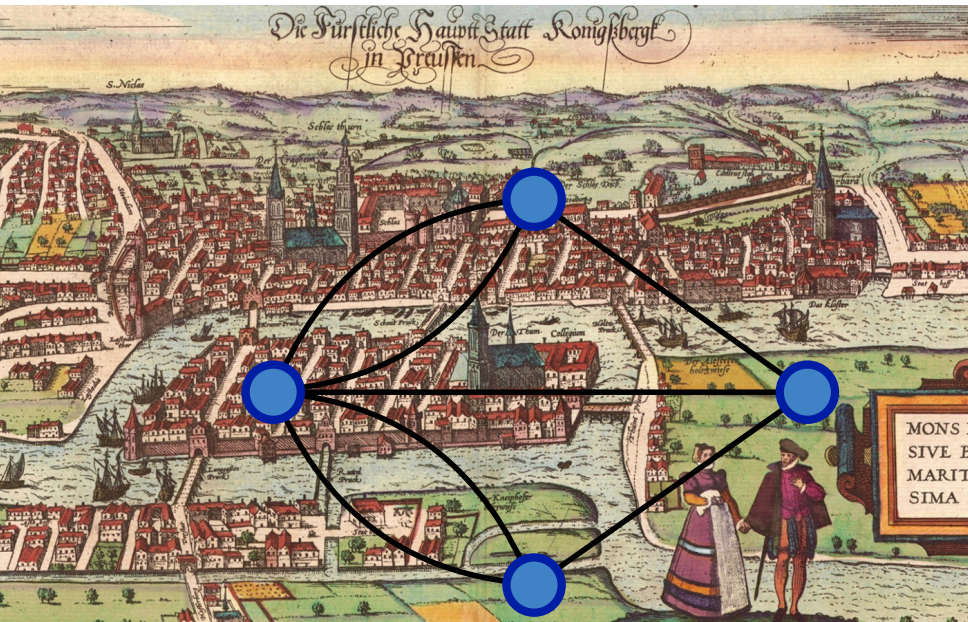
Euler and Graphs



Devise a walk through the city that crosses each of the seven bridges exactly once.

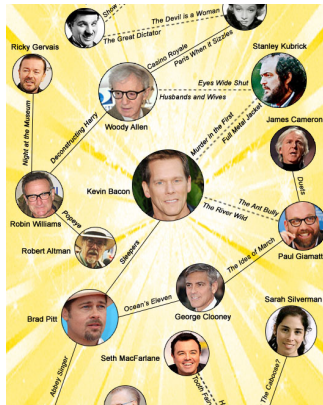
[illegible]

Euler and Graphs



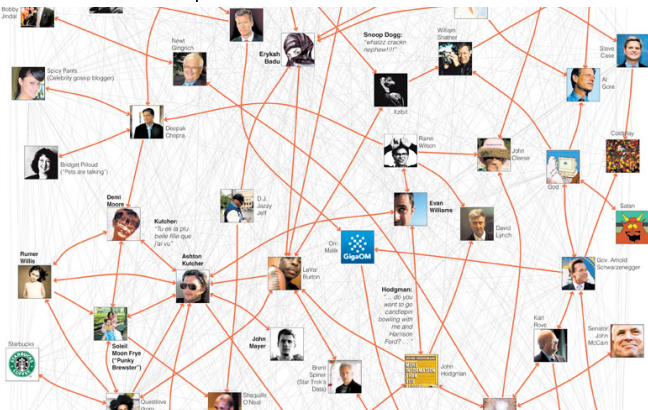
Definition of a Graph

- **Undirected graph** $G = (V, E)$: set V of nodes and set E of edges, where $E \subseteq V \times V$.
 - ▶ Elements of E are **unordered** pairs.
 - ▶ Edge (u, v) is **incident** on u, v ; u and v are **neighbours** of each other.
 - ▶ Exactly one edge between any pair of nodes.
 - ▶ G contains no self loops, i.e., no edges of the form (u, u) .

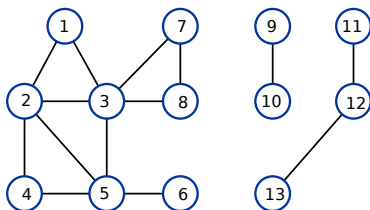


Definition of a Graph

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 - ▶ Elements of E are **ordered** pairs.
 - ▶ $e = (u, v)$: u is the **tail** of the edge e , v is its **head**; e is **directed from u to v** .
 - ▶ A pair of nodes may be connected by two directed edges: (u, v) and (v, u) .
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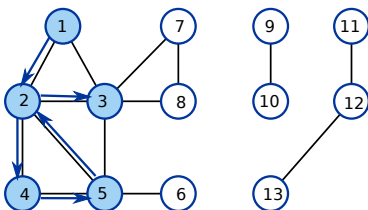


Paths and Connectivity



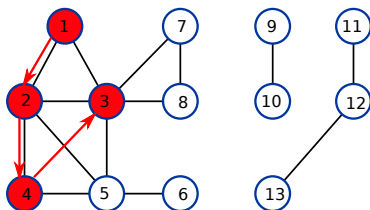
- A v_1 - v_k *path* in an undirected graph $G = (V, E)$ is a sequence P of nodes $v_1, v_2, \dots, v_{k-1}, v_k \in V$ such that every consecutive pair of nodes $v_i, v_{i+1}, 1 \leq i < k$ is connected by an edge in E .

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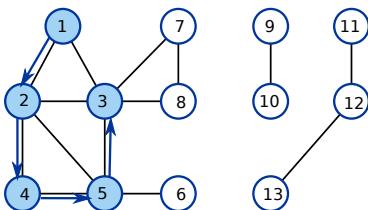
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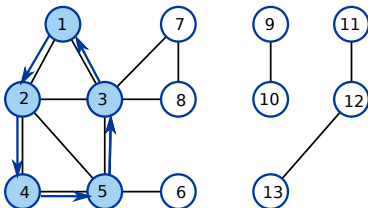
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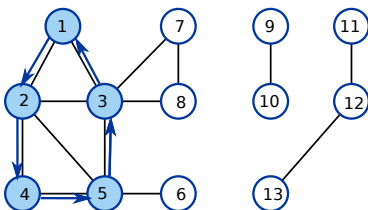
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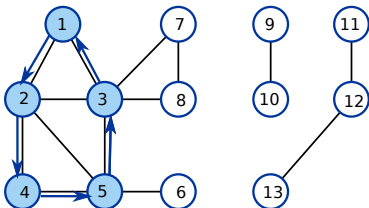
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Paths and Connectivity



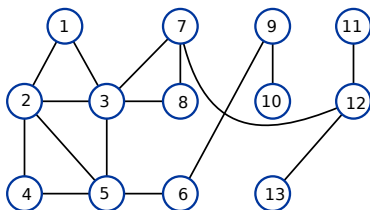
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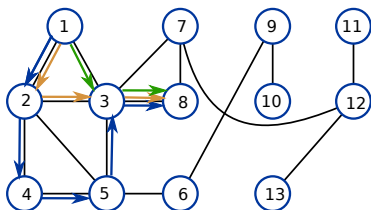
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- An undirected graph G is **connected** if for every pair of nodes $u, v \in V$, there is a path from u to v in G .

Paths and Connectivity



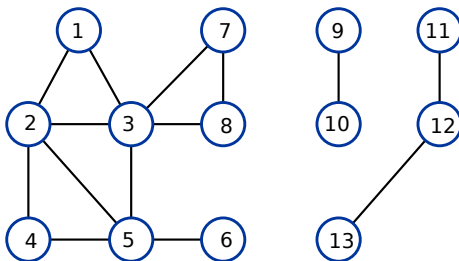
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- An undirected graph G is **connected** if for every pair of nodes $u, v \in V$, there is a path from u to v in G .
- **Distance** $d(u, v)$ between two nodes u and v is the minimum number of edges in any u - v path.

s - t Connectivity

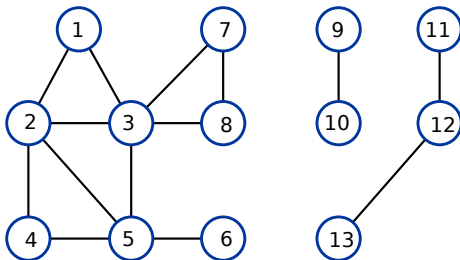


s - t Connectivity

INSTANCE: An undirected graph $G = (V, E)$ and two nodes $s, t \in V$.

QUESTION: Is there an s - t path in G ?

s - t Connectivity



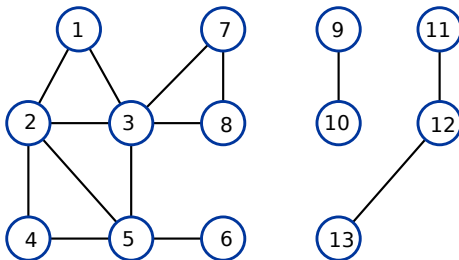
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- Algorithm for the s - t Connectivity problem: compute the connected component of G that contains s and check if t is in that component.

Computing Connected Components

- “Explore” G starting from s and maintain set R of visited nodes.

R will consist of nodes to which s has a path

Initially $R = \{s\}$

While there is an edge (u, v) where $u \in R$ and $v \notin R$

 Add v to R

Endwhile

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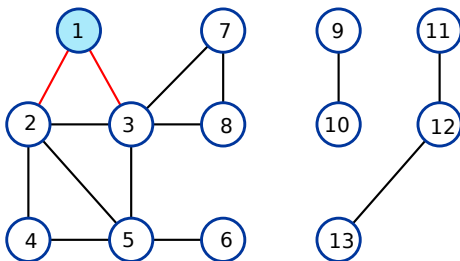
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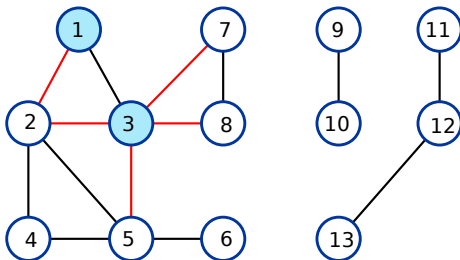
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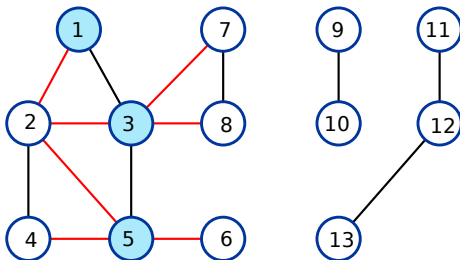
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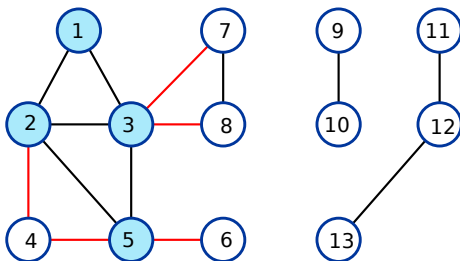
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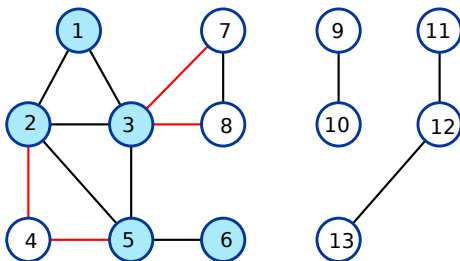
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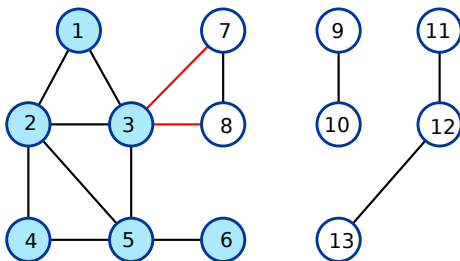
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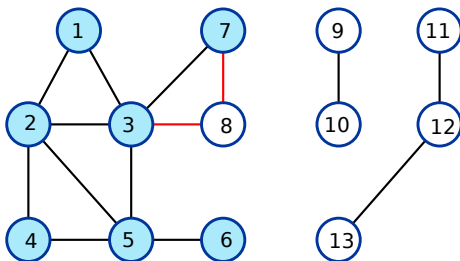
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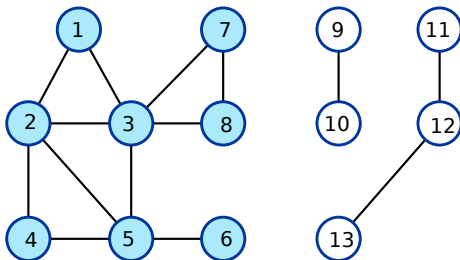
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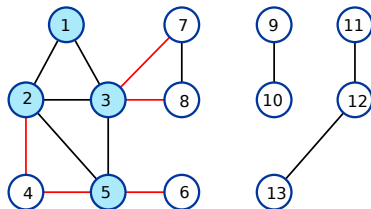
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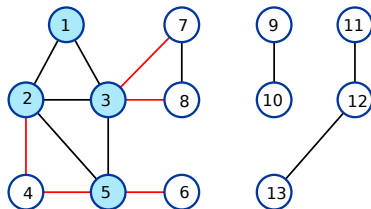
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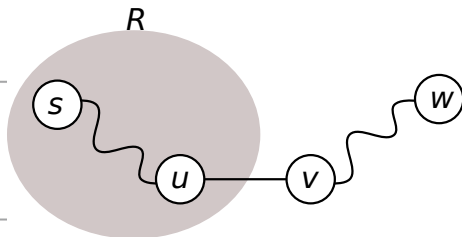
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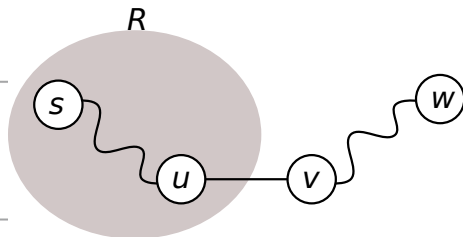
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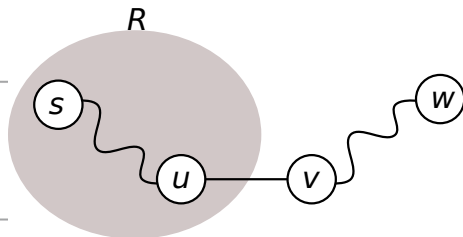
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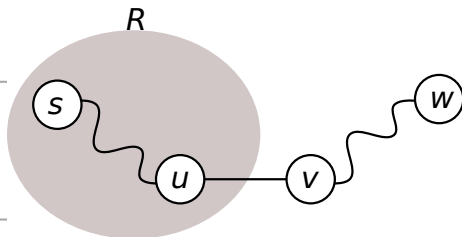
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 - ▶ Note: wrong to assume that predecessor of w in P is not in R .

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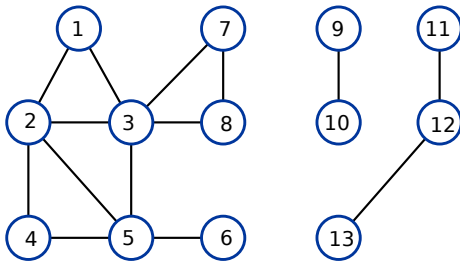
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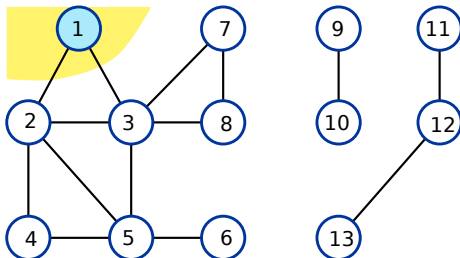
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- Can we improve the running time by processing edges more carefully?

Breadth-First Search (BFS)



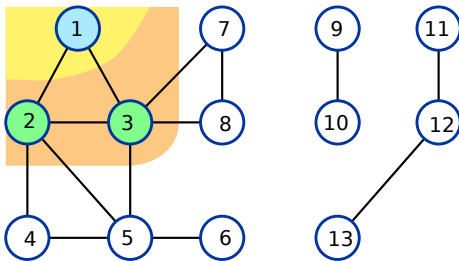
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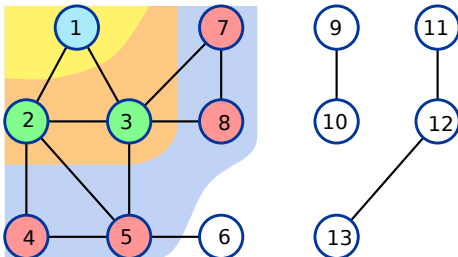
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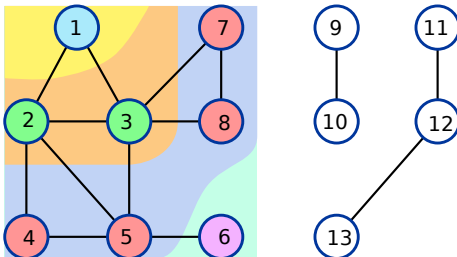
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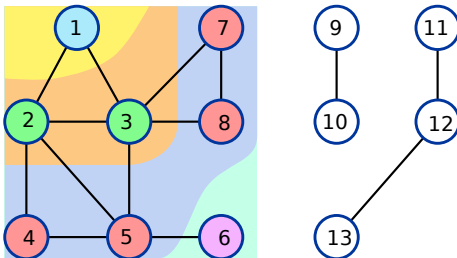
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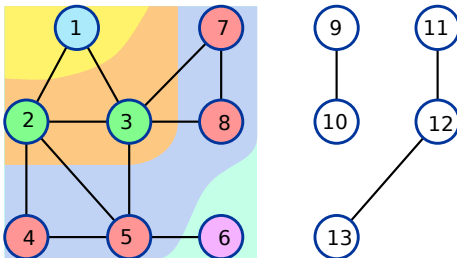
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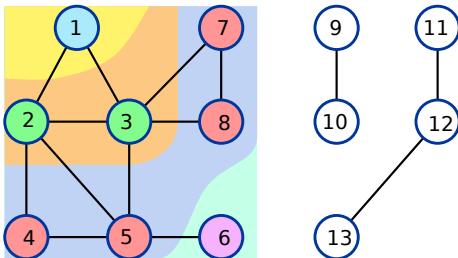
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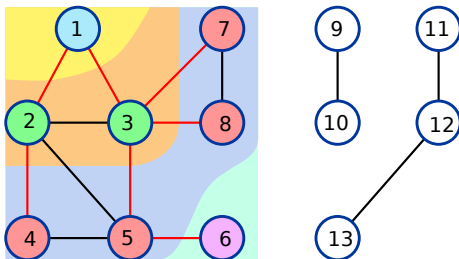
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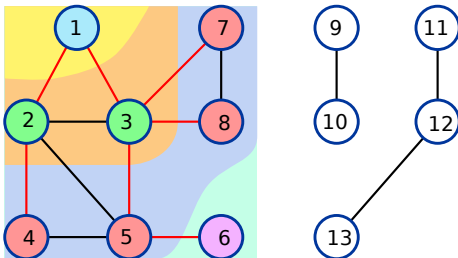
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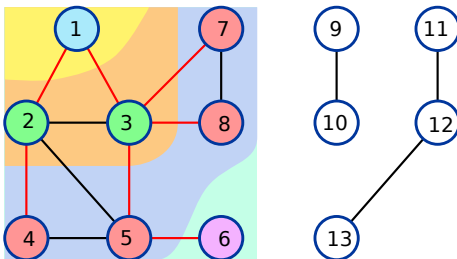
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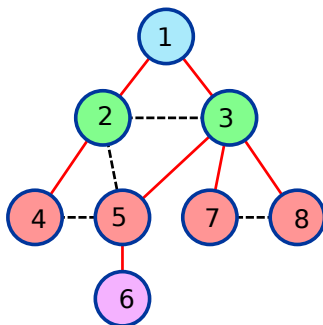
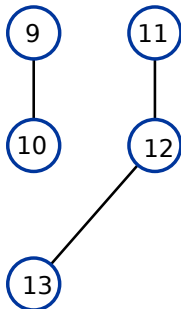
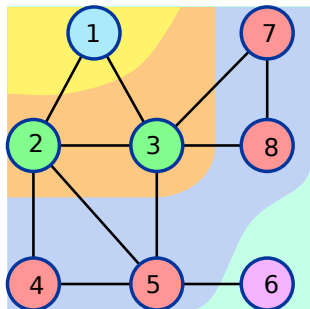
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 - Why is T a tree?

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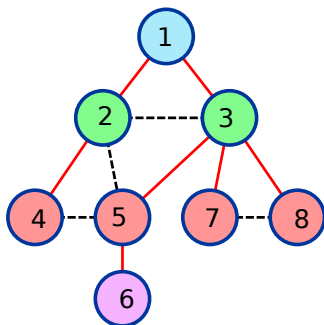
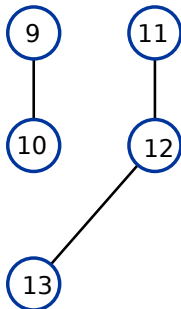
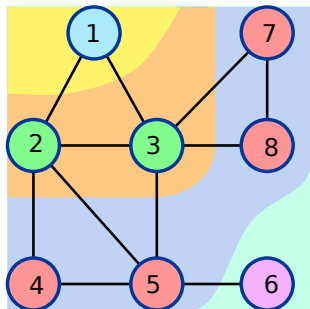
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 - ▶ Why is T a tree? It is connected. The number of edges in T is the number of nodes in all the layers minus 1.
 - ▶ T is called the *breadth-first search tree*.

BFS Trees



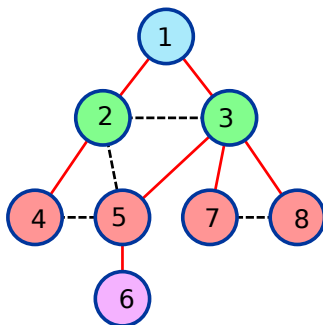
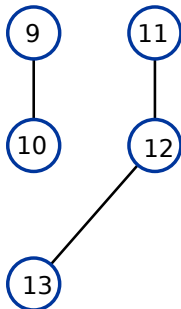
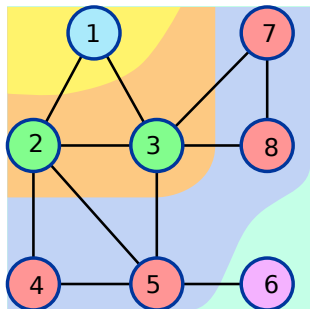
- *Non-tree edge*: an edge of G that does not belong to the BFS tree T .
- Claim: Let T be a BFS tree, let x and y be nodes in T belonging to layers L_i and L_j , respectively, and let (x, y) be an edge of G . Then $|i - j| \leq 1$.

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- **Still unresolved**: an efficient implementation of BFS.

Depth-First Search (DFS)

- Explore G as if it were a maze: start from s , traverse first edge out (to node v), traverse first edge out of v , \dots , reach a dead-end, backtrack, \dots

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- ❶ Mark all nodes as “Unexplored”.
- ❷ Invoke $\text{DFS}(s)$.

$\text{DFS}(u)$:

Mark u as "Explored" and add u to R

For each edge (u, v) incident to u

 If v is not marked "Explored" then

 Recursively invoke $\text{DFS}(v)$

 Endif

Endfor

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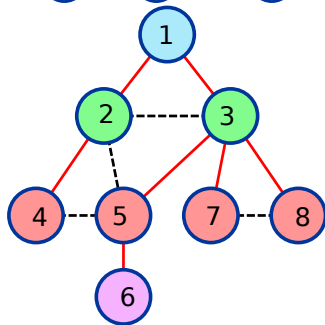
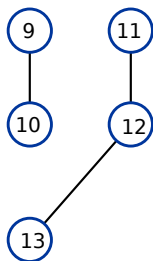
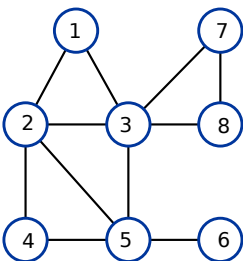
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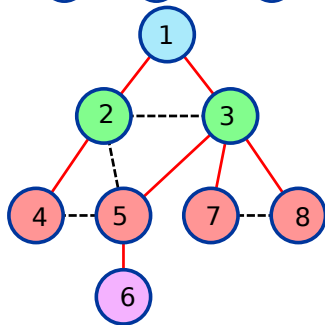
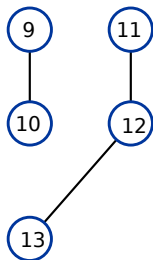
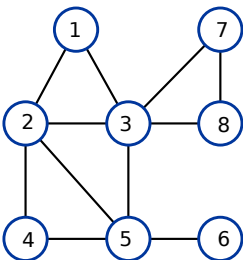
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- *Depth-first search tree* is a tree T : when $\text{DFS}(v)$ is invoked directly during the call to $\text{DFS}(v)$, add edge (u, v) to T .

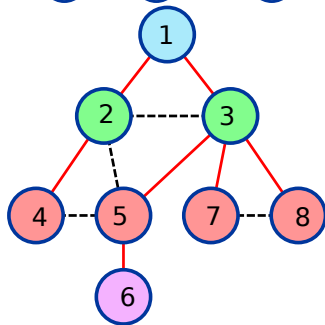
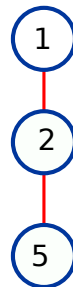
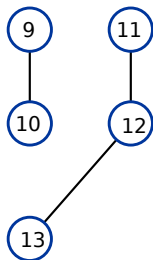
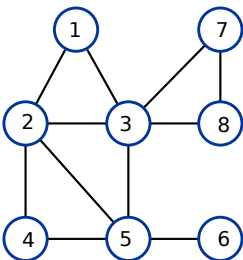
Example of DFS



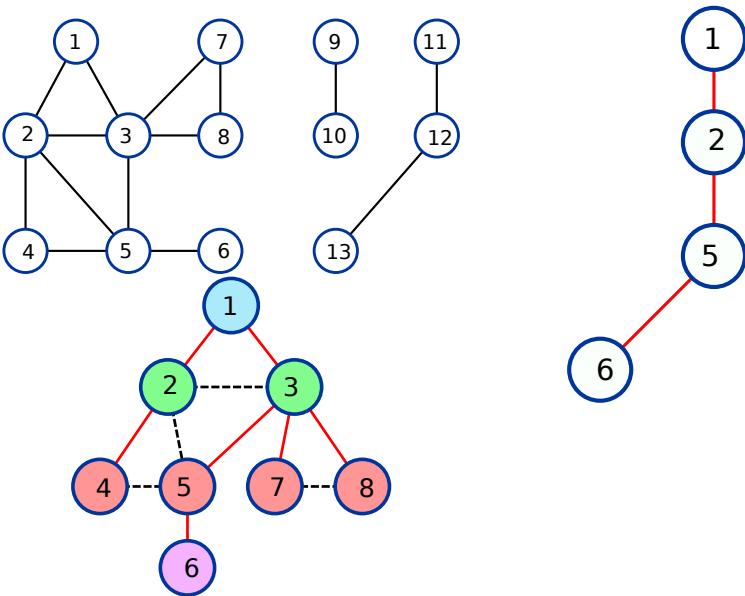
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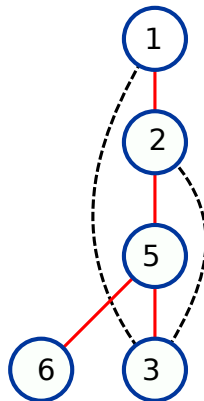
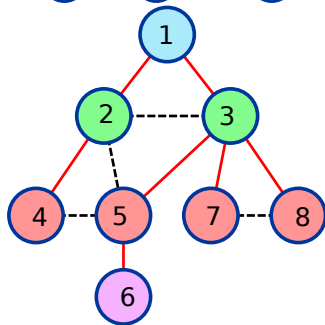
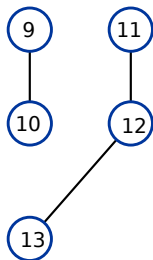
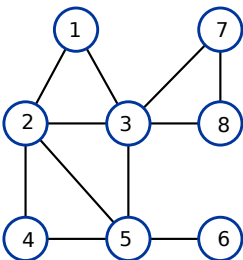
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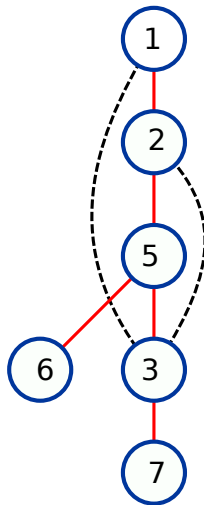
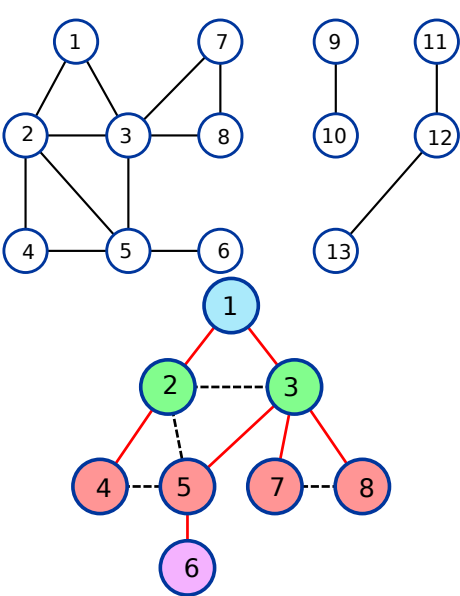
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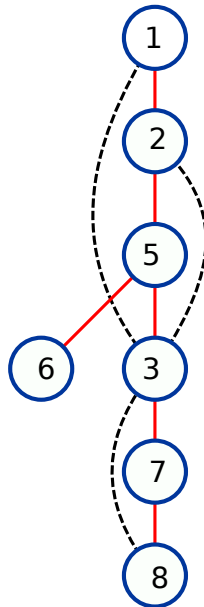
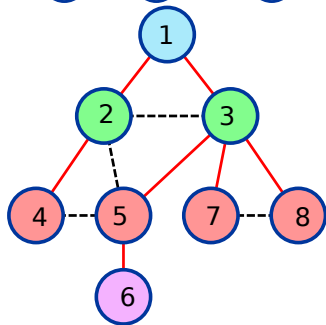
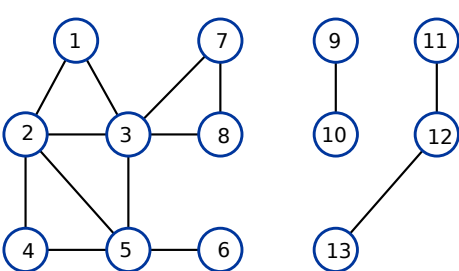
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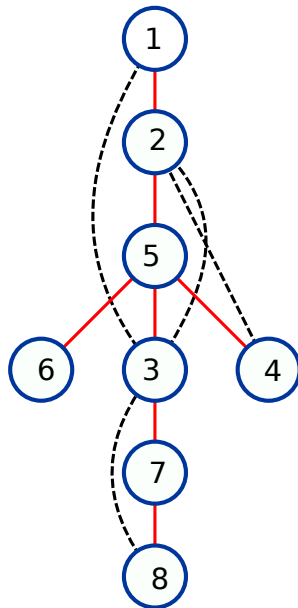
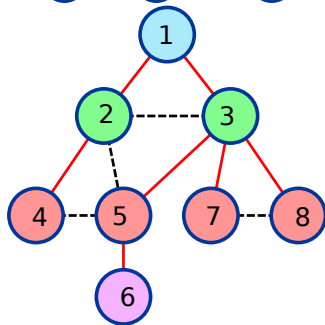
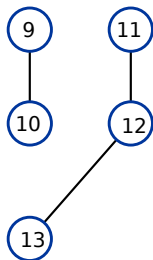
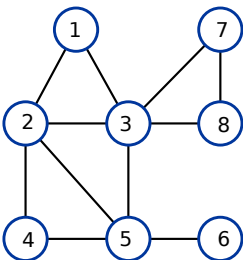
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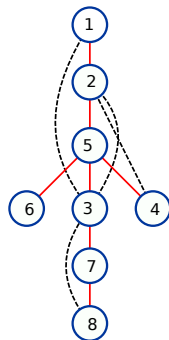
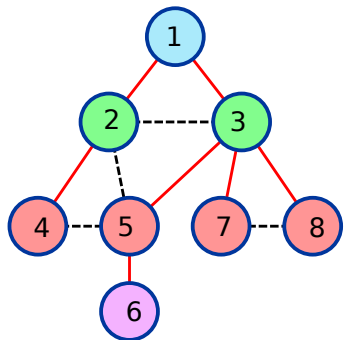
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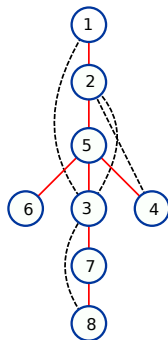
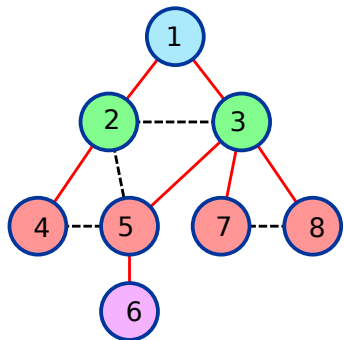
BFS vs. DFS



- Both visit the same set of nodes but in a different order.
- Both traverse all the edges in the connected component but in a different order.
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DFS connect ancestors to descendants.

Properties of DFS Trees

DFS(u):

Mark u as "Explored" and add u to R

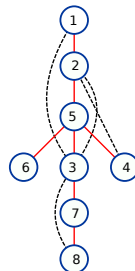
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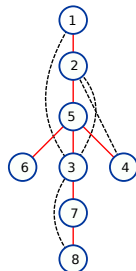


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- Observation: All nodes marked as “Explored” between the start of DFS(u) and its end are descendants of u in the DFS tree T .
- Claim: Let x and y be nodes in a DFS tree T such that (x, y) is an edge of G but not of T . Then one of x or y is an ancestor of the other in T . Read proof on page 86 of your textbook.

Representing Graphs

- Graph $G = (V, E)$ has two input parameters: $|V| = n, |E| = m$.
 - ▶ Size of the graph is defined to be $m + n$.
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Data Structures for Implementation

- “Implementation” of BFS and DFS: fully specify the algorithms and data structures so that we can obtain provably efficient times.
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- How do we store the set of visited nodes? Order in which we process the nodes is crucial.
 - ▶ BFS: store visited nodes in a queue (first-in, first-out).
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Using a Queue in BFS

- Maintain an array `Discovered` and set `Discovered[v] = true` as soon as the algorithm sees v .
- Maintain all the layers in a single queue L .

`BFS(s)`:

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Set Discovered[s] = true
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```
Set Discovered[v] = false, for all other nodes  $v$ 
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Initialize  $L$  to consist of the single element  $s$ 
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```
While  $L$  is not empty
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    Pop the node  $u$  at the head of  $L$ 
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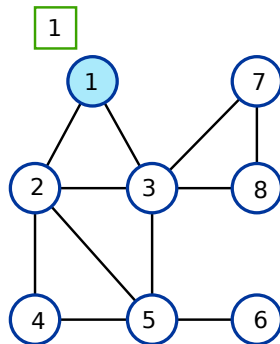
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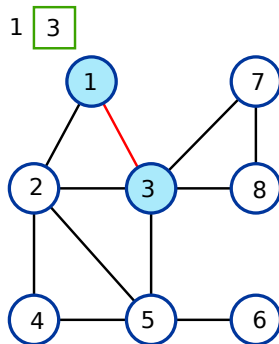
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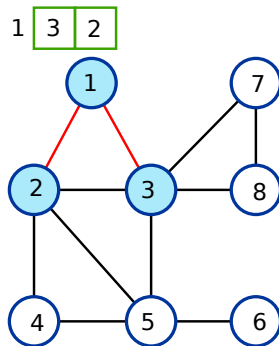
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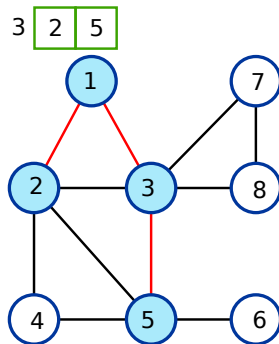
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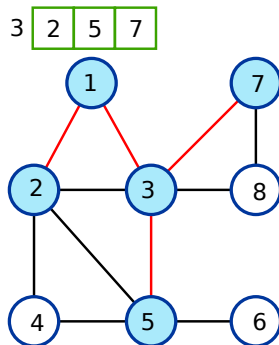
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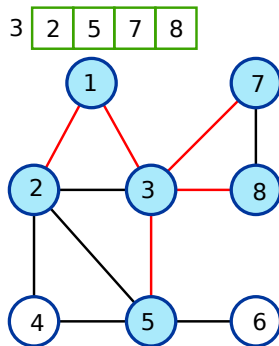
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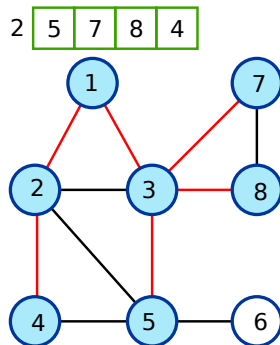
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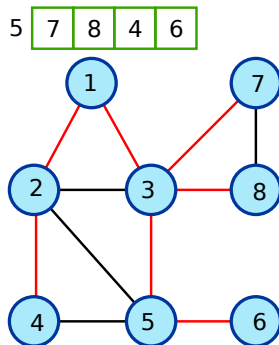
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- Maintain an array `Discovered` and set `Discovered[v] = true` as soon as the algorithm sees v .
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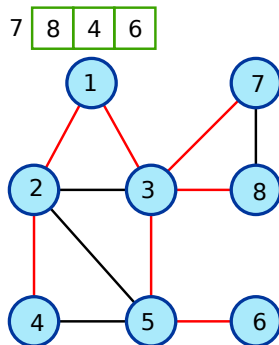
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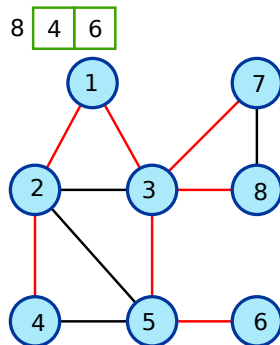
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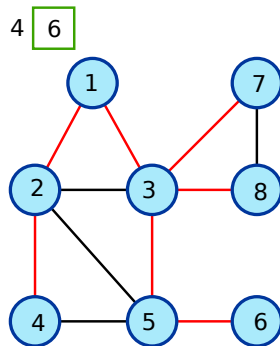
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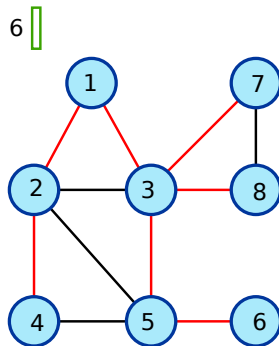
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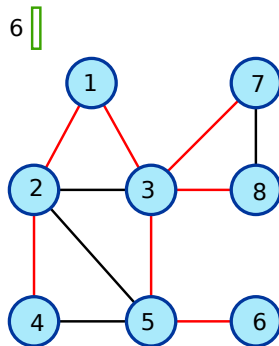
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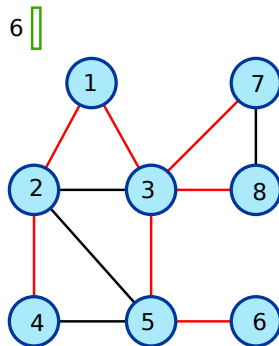
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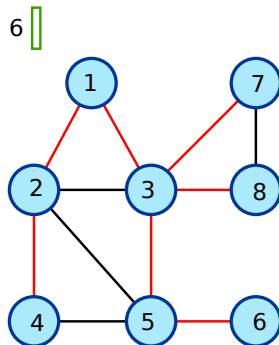
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- Simple to modify this procedure to keep track of layer numbers as well. Store the pair (u, l_u) , where l_u is the index of the layer containing u .
- Claim: More formally: If BFS(s) pops (v, l_v) from L immediately after it pops (u, l_u) , then either $l_v = l_u$ or $l_v = l_u + 1$.



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- Maintaining layer information: $O(1)$ time per node.
- Total time is $O(n + m)$.

Recursive DFS to Stack-Based DFS

DFS(u):

Mark u as "Explored" and add u to R

For each edge (u, v) incident to u

 If v is not marked "Explored" then

 Recursively invoke DFS(v)

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- Can replace the recursion by an iteration: use a stack to explicitly implement the recursion.

Analysing DFS

DFS(s):

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Initialize  $S$  to be a stack with one element  $s$ 
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- How many times is a node's adjacency list scanned?

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