

# Analysis of Algorithms

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# What is Algorithm Analysis?

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- How do we put this notion on a concrete footing?
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## Goal

Develop algorithms that **provably** run quickly and use low amounts of space.

# Worst-case Running Time

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- **Input size** = number of elements in the input. *Values* in the input do not matter, except for specific algorithms.
- Assume all elementary operations take unit time: assignment, arithmetic on a fixed-size number, comparisons, array lookup, following a pointer, etc.

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  - An algorithm has a *polynomial* running time if there exist constants  $c > 0$  and  $d > 0$  such that on every input of size  $n$ , the running time of the algorithm is bounded by  $cn^d$  steps.

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## Definition

An algorithm is *efficient* if it has a polynomial running time.

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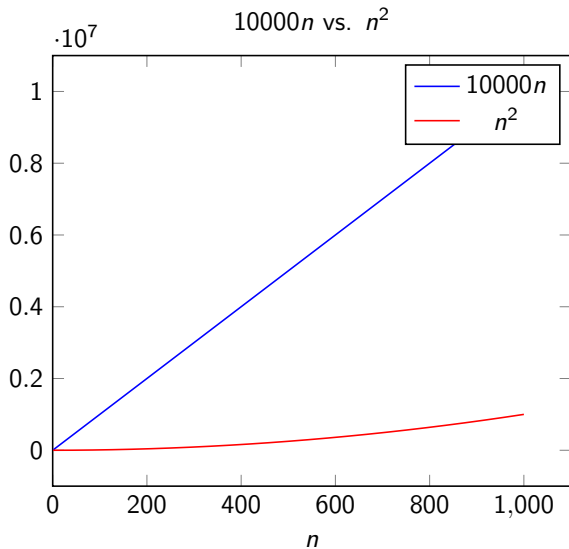
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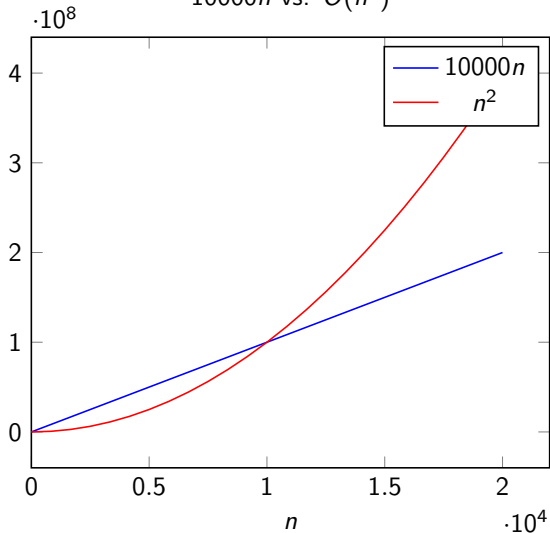
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  - ▶ “Roughly” hides potentially large constants, e.g., running time of merge sort may in reality be  $10n \log_2 n$ .
- How can we make statements such as the following, in order to compare the running times of different algorithms?
  - ▶  $100n \log_2 n \leq n^2$
  - ▶  $10000n \leq n^2$
  - ▶  $5n^2 - 4n \geq 1000n \log n$

“ $10000n \leq n^2$ ”



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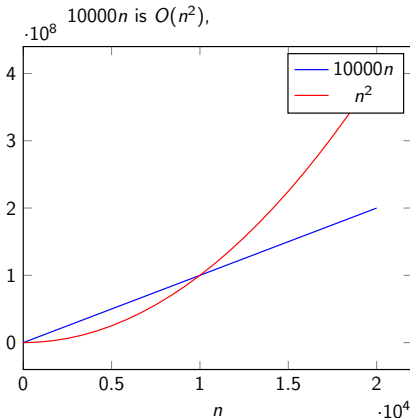
$10000n$  vs.  $O(n^2)$



# Upper Bound

## Definition

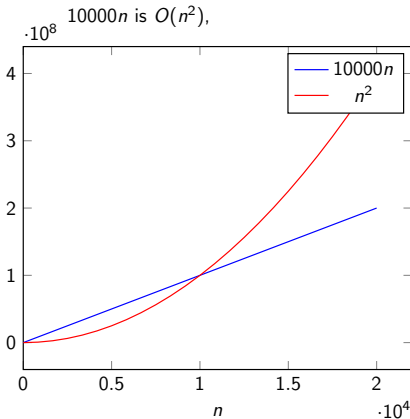
**Asymptotic upper bound:** A function  $f(n)$  is  $O(g(n))$  if  
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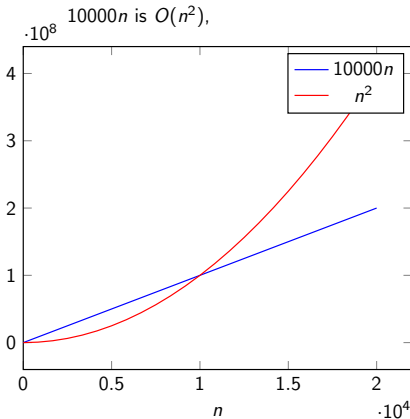
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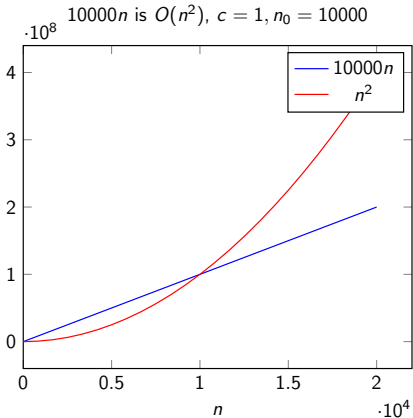
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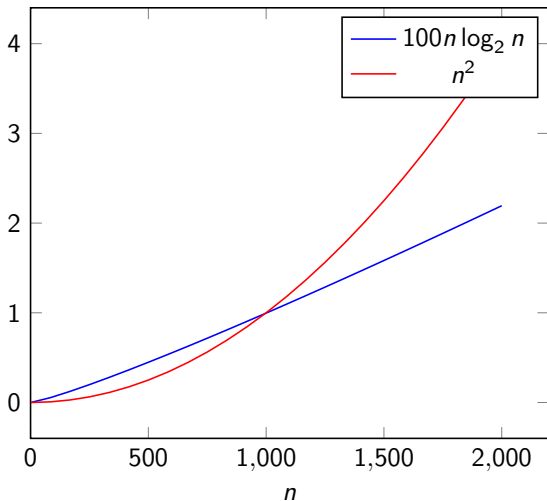
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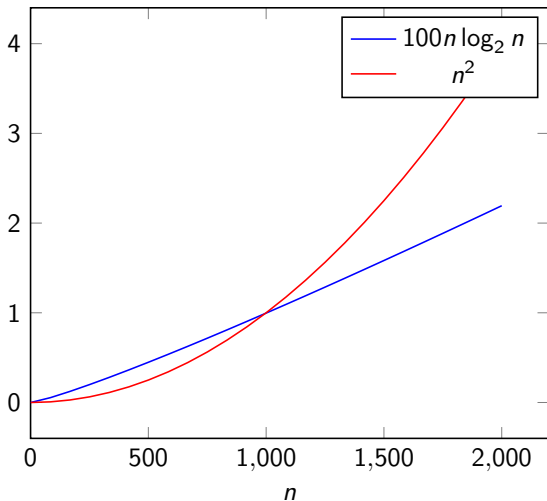


► Poll



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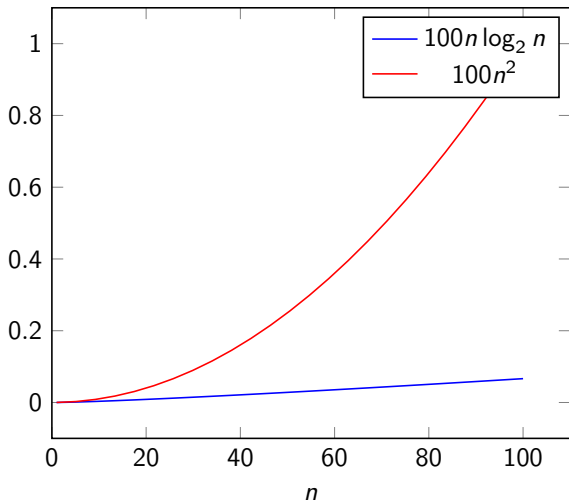
$100n \log_2 n$  is  $O(n^2)$ ,  $c = 1$ ,  $n_0 = 1500$



► Poll

# $100n \log_2 n$ and $n^2$

$100n \log_2 n$  is  $O(n^2)$ ,  $c = 100$ ,  $n_0 = 1$



► Poll

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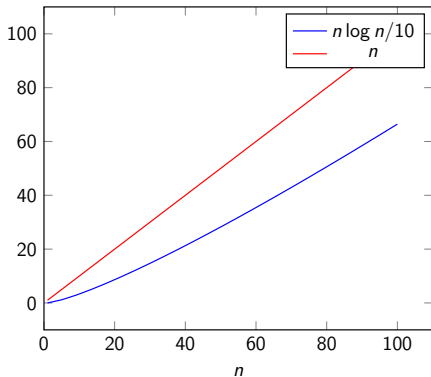
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$n \log_2 n/10$  and  $\Omega(n)$  [Poll](#)

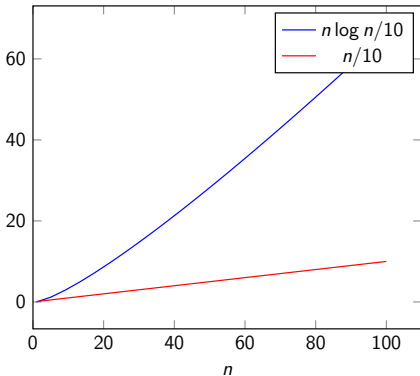


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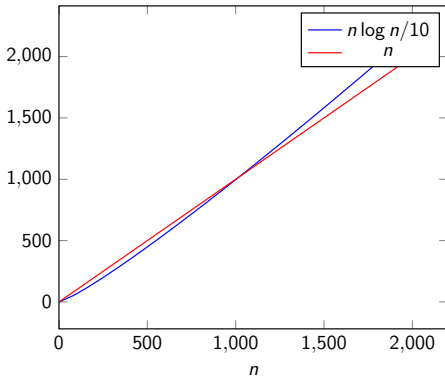


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  - ▶ The *stable matching problem* has a lower bound of  $\Omega(n^2)$ . For *any* algorithm, there is at least one input for which the algorithm will take  $\Omega(n^2)$  steps, even if all the preference matrices are already stored in memory (Ng and Hirschberg, *SIAM J. Comput.*, 1990).

# Tight Bound

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- In all these definitions,  $c$  and  $n_0$  are constants independent of  $n$ .
- Abuse of notation: say  $g(n) = O(f(n))$ ,  $g(n) = \Omega(f(n))$ ,  $g(n) = \Theta(f(n))$ .

# Properties of Asymptotic Growth Rates

Dropping argument  $n$  on this slide for visual clarity.

## Transitivity

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- If  $f = O(g)$ , then  $f + g = \Theta(g)$ .

# Examples

$f(n)$	$g(n)$	Reason
$pn^2 + qn + r$		
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$\sum_{0 \leq i \leq d} a_i n^i$		
$O(n^{1.59})$		
$\log_a n$		

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$pn^2 + qn + r$	$O(n^3)?$	$n^2 \leq n^3$ , if $n \geq 1$
$\sum_{0 \leq i \leq d} a_i n^i$	$\Theta(n^d)$	if $d > 0$ is an integer constant and $a_d > 0$
$O(n^{1.59})$	Polynomial time? <input type="button" value="Poll"/>	Yes, since $n^{1.59}$ is $O(n^2)$
$\log_a n$		

- $O(n^d)$  is the definition of *polynomial time*.

# Examples

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# Examples

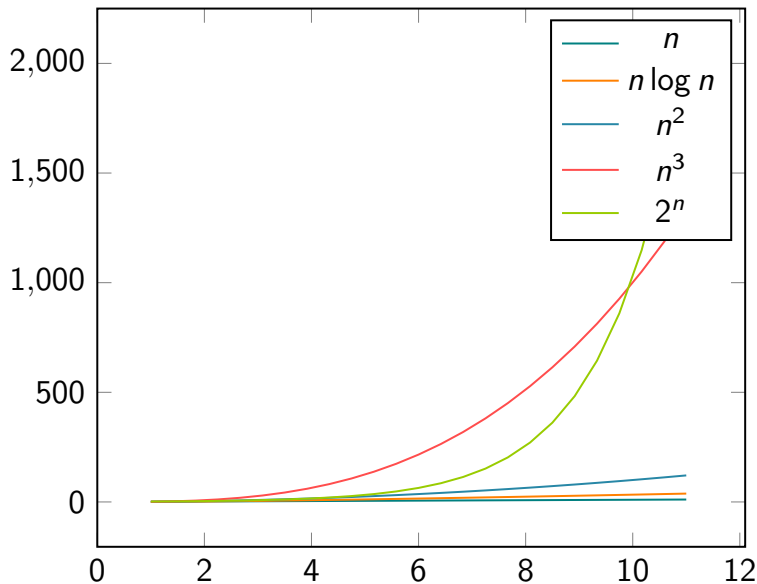
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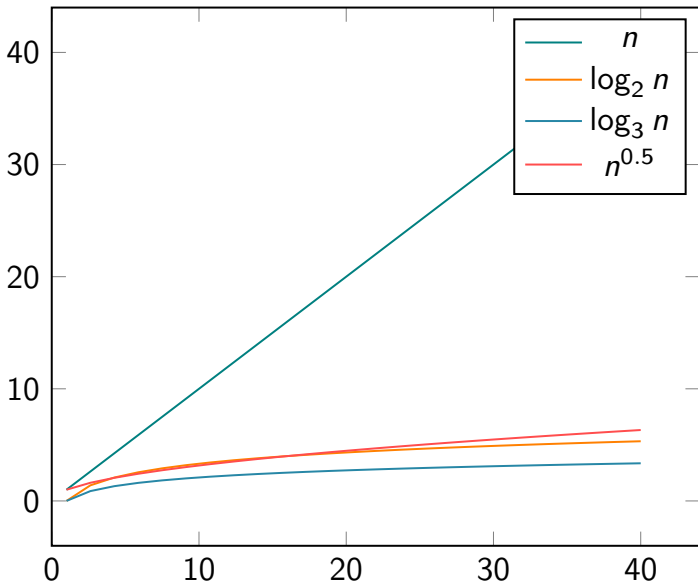
- $O(n^d)$  is the definition of *polynomial time*.
- For every constant  $x > 0$ ,  $\log n = O(n^x)$ , e.g.,  $\log n = n^{0.00001}$ .

# Examples

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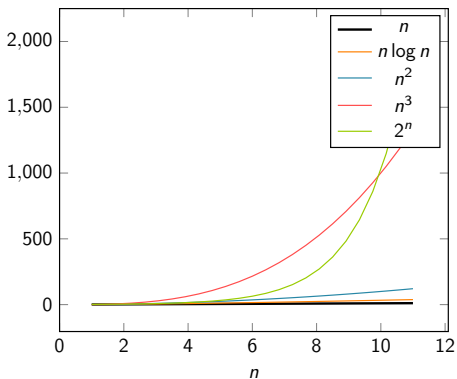
- $O(n^d)$  is the definition of *polynomial time*.
- For every constant  $x > 0$ ,  $\log n = O(n^x)$ , e.g.,  $\log n = n^{0.00001}$ .
- For every constant  $r > 1$  and every constant  $d > 0$ ,  $n^d = O(r^n)$ , e.g.,  $n^3 = O(1.1^n)$ .

Different functions of  $n$ 

More functions of  $n$ 



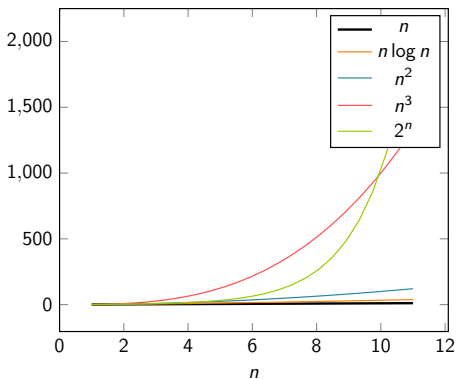
# Linear Time



- Running time is at most a constant factor times the size of the input.

[▶ Poll](#)

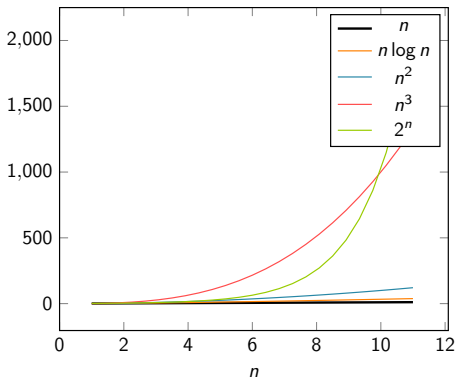
# Linear Time



- Running time is at most a constant factor times the size of the input.
- Finding the minimum, merging two sorted lists.

[▶ Poll](#)

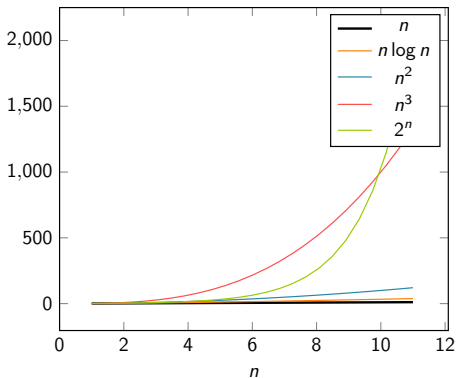
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- Running time is at most a constant factor times the size of the input.
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- Computing the median (or  $k$ th smallest) element in an *unsorted* list.

[▶ Poll](#)

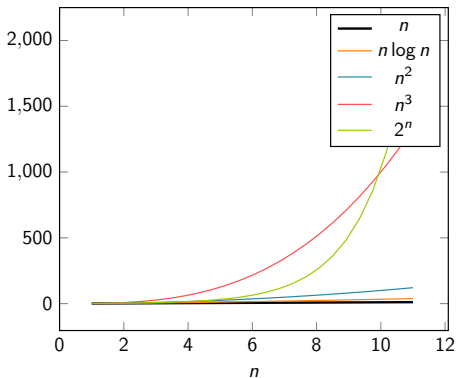
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- Running time is at most a constant factor times the size of the input.
- Finding the minimum, merging two sorted lists.
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- Sub-linear time.

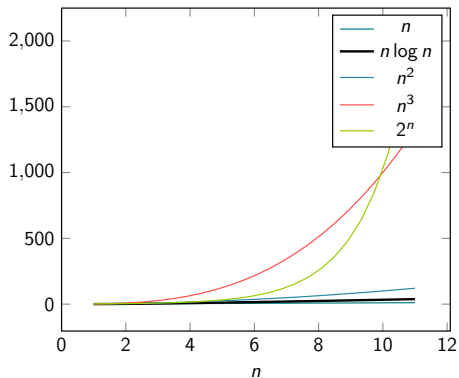
[▶ Poll](#)

# Linear Time



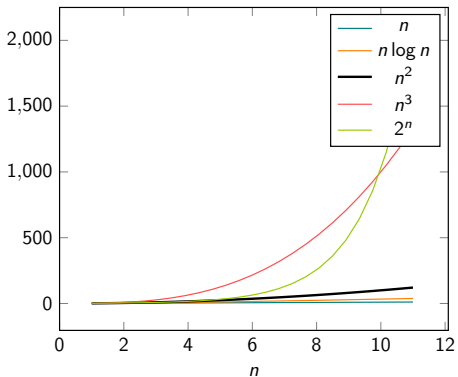
- Running time is at most a constant factor times the size of the input. ▶ Poll
- Finding the minimum, merging two sorted lists.
- Computing the median (or  $k$ th smallest) element in an *unsorted* list. “Median-of-medians” algorithm.
- Sub-linear time. Binary search in a sorted array of  $n$  numbers takes  $O(\log n)$  time.

# $O(n \log n)$ Time



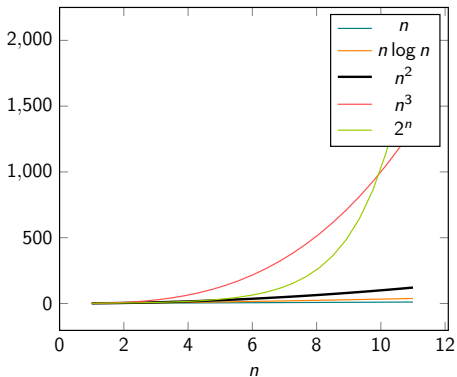
- Any algorithm where the costliest step is sorting.

# Quadratic Time



- Enumerate all pairs of elements.

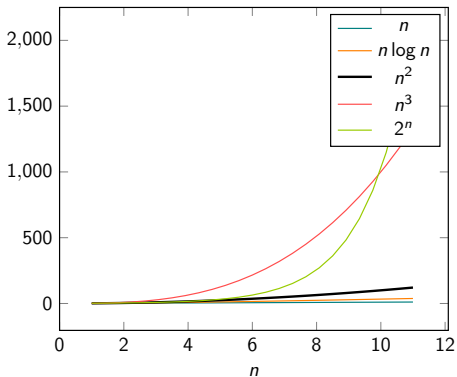
# Quadratic Time



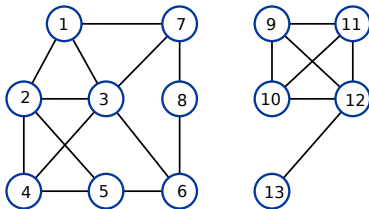
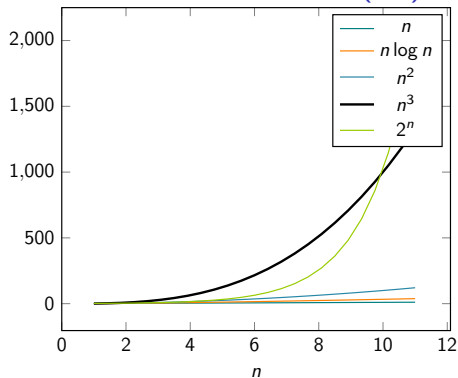
- Enumerate all pairs of elements.
- Given a set of  $n$  points in the plane, find the pair that are the closest.



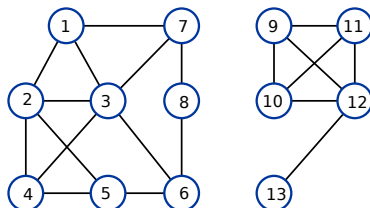
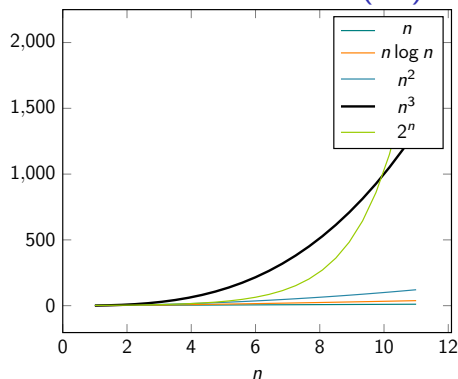
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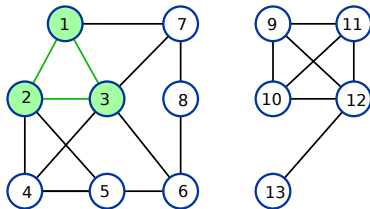
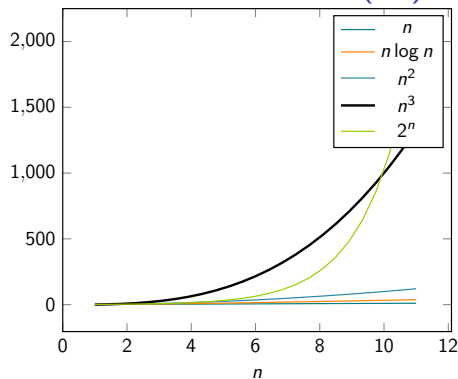
- Enumerate all pairs of elements.
- Given a set of  $n$  points in the plane, find the pair that are the closest. Surprising fact: will solve this problem in  $O(n \log n)$  time later in the semester.

$O(n^k)$  Time

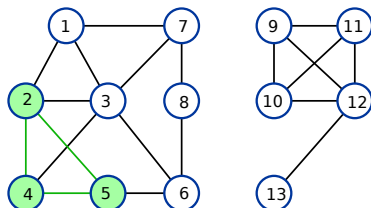
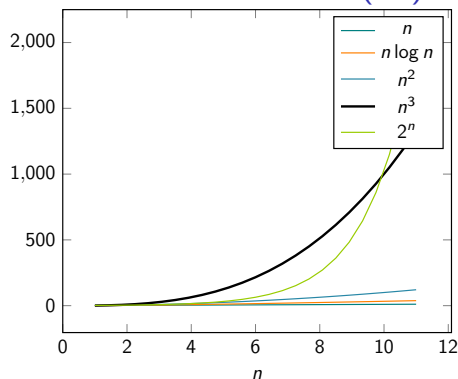
- COVID-19 proximity graph: each node is a person shopping in Kroger, an edge connects two people who came within six feet of each other.
- Some subgraphs can have high potential for virus transmission.

$O(n^k)$  Time

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- Does a graph have a *clique* of size  $k$ , where  $k$  is a constant, i.e. there are  $k$  nodes such that every pair is connected by an edge?

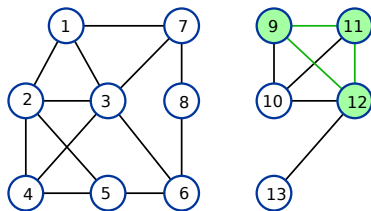
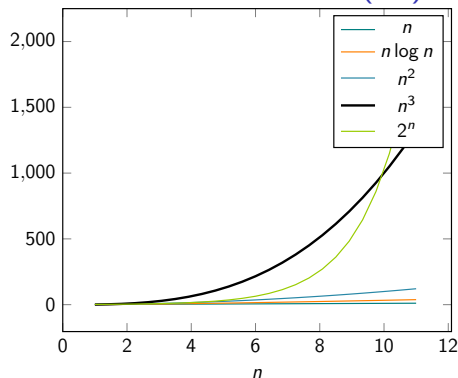
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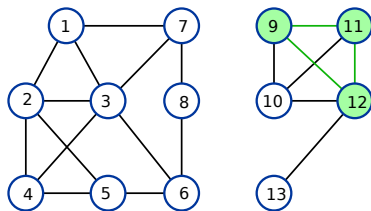
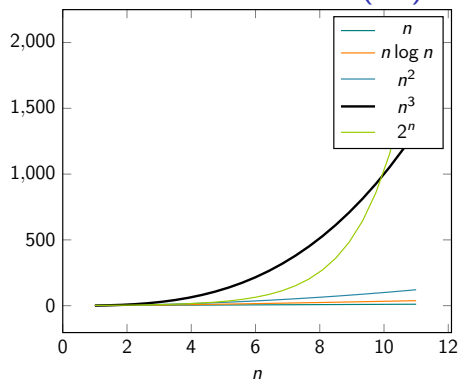
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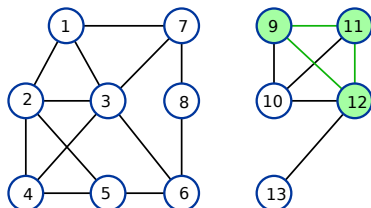
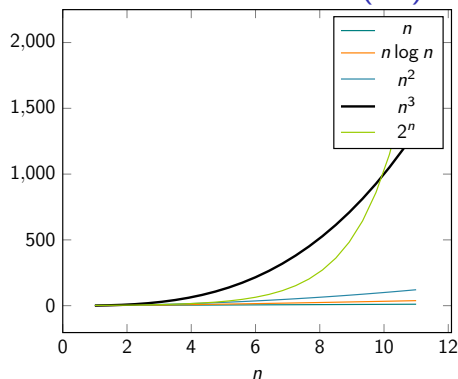


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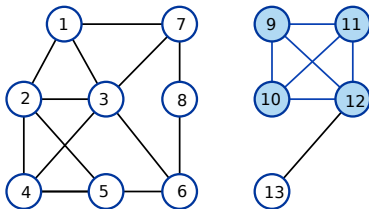
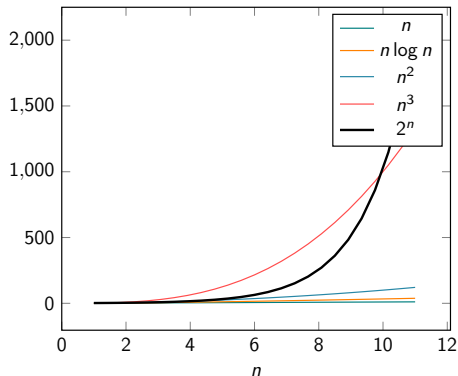
# $O(n^k)$ Time



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- Running time is  $O(k^2 \binom{n}{k}) = O(n^k)$ .

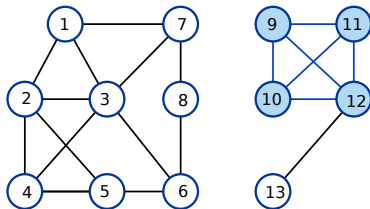
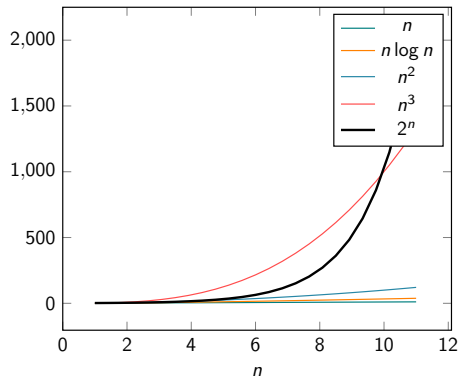


# Beyond Polynomial Time



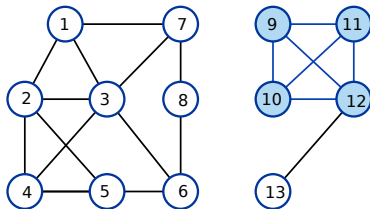
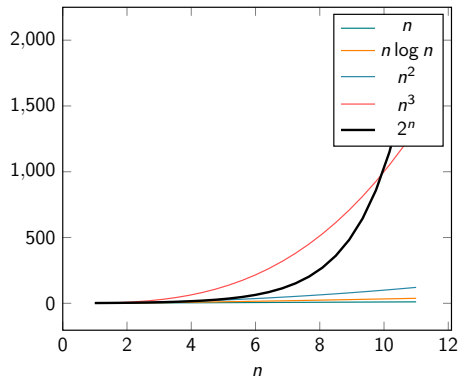
- What is the largest size of a clique in a graph with  $n$  nodes?

# Beyond Polynomial Time



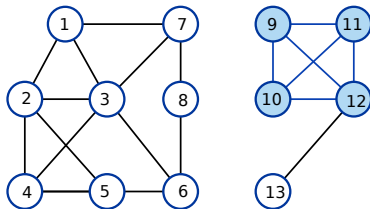
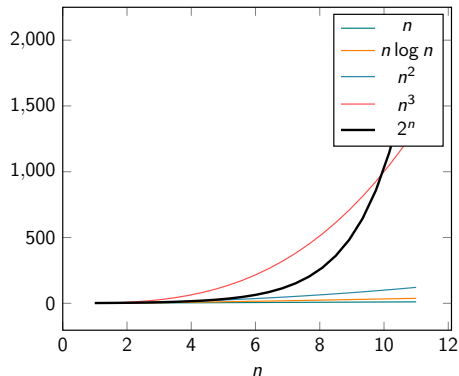
- What is the largest size of a clique in a graph with  $n$  nodes?
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# Beyond Polynomial Time



- What is the largest size of a clique in a graph with  $n$  nodes?
- Algorithm: For each  $1 \leq i \leq n$ , check if the graph has a clique of size  $i$ . Output largest clique found.
- What is the running time?

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- What is the running time?  $O(n^2 2^n)$ .