NP-Complete Problems

T. M. Murali

November 30, December 2, 2021

Strategy	3-SAT	Sequencing Problems	Partitionir	ig Problems	Other Problems
Review	v: Definit	tions of \mathcal{NP}	-Comple	ete and	$\mathcal{NP} extsf{-Hard}$
A problem 2	X is \mathcal{NP} -Com	<i>plete</i> if A	problem X	is \mathcal{NP} -Hard	d if
$\bigcirc X \in \mathcal{N}$	${\mathcal P}$ and				
for eve	ry problem Y	$\in \mathcal{NP}$, (for every	problem Y	$\in \mathcal{NP}$,
$Y \leq_P $	Χ.	NP	Y ≤ _P X. NP-ha	ard	
		P	NPc		



• Claim: If Y is \mathcal{NP} -Complete and $X \in \mathcal{NP}$ such that $Y \leq_P X$, then X is \mathcal{NP} -Complete.



- Claim: If Y is \mathcal{NP} -Complete and $X \in \mathcal{NP}$ such that $Y \leq_P X$, then X is \mathcal{NP} -Complete.
- Given a new problem X, a general strategy for proving it \mathcal{NP} -Complete is

Strategy	3-SAT	Sequencing Problems	Partitioning Problems	Other Problems	
Proving Other Problems \mathcal{NP} -Complete					
co-NP NP NP-hard					
P NPc					
• Claim: If Y is \mathcal{NP} -Complete and $X \in \mathcal{NP}$ such that $Y \leq_P X$, then X is \mathcal{NP} -Complete.					

- Given a new problem X, a general strategy for proving it \mathcal{NP} -Complete is
 - Prove that $X \in \mathcal{NP}$.
 - **2** Select a problem Y known to be \mathcal{NP} -Complete.
 - **③** Prove that $Y \leq_P X$.

Strategy	3-SAT	Sequencing Problems	Partitioning Problems	Other Problems	
Proving Other Problems \mathcal{NP} -Complete					
co-NP NP NP-hard					
P NPc					
• Claim: If Y is \mathcal{NP} -Complete and $X \in \mathcal{NP}$ such that $Y \leq_P X$, then X is \mathcal{NP} -Complete.					

- Given a new problem X, a general strategy for proving it \mathcal{NP} -Complete is
 - Prove that $X \in \mathcal{NP}$.
 - **2** Select a problem Y known to be \mathcal{NP} -Complete.
 - **O** Prove that $Y \leq_P X$.
- To prove X is NP-Complete, reduce a known NP-Complete problem Y to X. Do not prove reduction in the opposite direction, i.e., X ≤_P Y.

	Strategy					
F	Proving	a Proble	m \mathcal{NP} -Compl	ete with	Karp	Reductio
	Prove t	that $X\in\mathcal{NP}$	».			
	Select	a problem Y	known to be $\mathcal{NP} ext{-Co}$	mplete.		
	Conside polyno	er an arbitrar mial time, an	y input <i>s</i> to problem input <i>t</i> to problem X	Y. Show how such that	to const	ruct, in
	💿 If	Y(s) = yes, t	hen $X(t) =$ yes and			

If X(t) = yes, then Y(s) = yes (equivalently, if Y(s) = no, then X(t) = no).

3-SAT is \mathcal{NP} -Complete

• Why is 3-SAT in NP?

3-SAT is \mathcal{NP} -Complete

- Why is 3-SAT in NP?
- CIRCUIT SATISFIABILITY $\leq_P 3$ -SAT.
 - Given an input to CIRCUIT SATISFIABILITY, create an input to SAT, in which each clause has *at most* three variables.
 - **②** Convert this input to SAT into an input to 3-SAT.



Figure 8.4 A circuit with three inputs, two additional sources that have assigned truth values, and one output.

▶ Skip proof that CIRCUIT SATISFIABILITY \leq_P 3-SAT

- Given an arbitrary circuit K, associate each node v with a Boolean variable x_v .
- Encode the requirements of each gate as a clause.

- Given an arbitrary circuit K, associate each node v with a Boolean variable x_v .
- Encode the requirements of each gate as a clause.
- node v has \neg and edge entering from node u: guarantee that $x_v = \overline{x_u}$ using clauses

- Given an arbitrary circuit K, associate each node v with a Boolean variable x_v .
- Encode the requirements of each gate as a clause.
- node v has \neg and edge entering from node u: guarantee that $x_v = \overline{x_u}$ using clauses $(x_v \lor x_u)$ and $(\overline{x_v} \lor \overline{x_u})$.

- Given an arbitrary circuit K, associate each node v with a Boolean variable x_v .
- Encode the requirements of each gate as a clause.
- node v has \neg and edge entering from node u: guarantee that $x_v = \overline{x_u}$ using clauses $(x_v \lor x_u)$ and $(\overline{x_v} \lor \overline{x_u})$.
- node v has \lor and edges entering from nodes u and w: ensure $x_v = x_u \lor x_w$ using clauses

- Given an arbitrary circuit K, associate each node v with a Boolean variable x_v .
- Encode the requirements of each gate as a clause.
- node v has \neg and edge entering from node u: guarantee that $x_v = \overline{x_u}$ using clauses $(x_v \lor x_u)$ and $(\overline{x_v} \lor \overline{x_u})$.
- node v has \lor and edges entering from nodes u and w: ensure $x_v = x_u \lor x_w$ using clauses $(x_v \lor \overline{x_u})$, $(x_v \lor \overline{x_w})$, and $(\overline{x_v} \lor x_u \lor x_w)$.

- Given an arbitrary circuit K, associate each node v with a Boolean variable x_v .
- Encode the requirements of each gate as a clause.
- node v has \neg and edge entering from node u: guarantee that $x_v = \overline{x_u}$ using clauses $(x_v \lor x_u)$ and $(\overline{x_v} \lor \overline{x_u})$.
- node v has \vee and edges entering from nodes u and w: ensure $x_v = x_u \vee x_w$ using clauses $(x_v \vee \overline{x_u})$, $(x_v \vee \overline{x_w})$, and $(\overline{x_v} \vee x_u \vee x_w)$.
- node v has \wedge and edges entering from nodes u and w: ensure $x_v = x_u \wedge x_w$ using clauses

- Given an arbitrary circuit K, associate each node v with a Boolean variable x_v .
- Encode the requirements of each gate as a clause.
- node v has \neg and edge entering from node u: guarantee that $x_v = \overline{x_u}$ using clauses $(x_v \lor x_u)$ and $(\overline{x_v} \lor \overline{x_u})$.
- node v has \lor and edges entering from nodes u and w: ensure $x_v = x_u \lor x_w$ using clauses $(x_v \lor \overline{x_u})$, $(x_v \lor \overline{x_w})$, and $(\overline{x_v} \lor x_u \lor x_w)$.
- node v has \land and edges entering from nodes u and w: ensure $x_v = x_u \land x_w$ using clauses $(\overline{x_v} \lor x_u)$, $(\overline{x_v} \lor x_w)$, and $(x_v \lor \overline{x_u} \lor \overline{x_w})$.

- Given an arbitrary circuit K, associate each node v with a Boolean variable x_v .
- Encode the requirements of each gate as a clause.
- node v has \neg and edge entering from node u: guarantee that $x_v = \overline{x_u}$ using clauses $(x_v \lor x_u)$ and $(\overline{x_v} \lor \overline{x_u})$.
- node v has \lor and edges entering from nodes u and w: ensure $x_v = x_u \lor x_w$ using clauses $(x_v \lor \overline{x_u})$, $(x_v \lor \overline{x_w})$, and $(\overline{x_v} \lor x_u \lor x_w)$.
- node v has \land and edges entering from nodes u and w: ensure $x_v = x_u \land x_w$ using clauses $(\overline{x_v} \lor x_u)$, $(\overline{x_v} \lor x_w)$, and $(x_v \lor \overline{x_u} \lor \overline{x_w})$.
- Constants at sources: single-variable clauses.

- Given an arbitrary circuit K, associate each node v with a Boolean variable x_v .
- Encode the requirements of each gate as a clause.
- node v has \neg and edge entering from node u: guarantee that $x_v = \overline{x_u}$ using clauses $(x_v \lor x_u)$ and $(\overline{x_v} \lor \overline{x_u})$.
- node v has \vee and edges entering from nodes u and w: ensure $x_v = x_u \vee x_w$ using clauses $(x_v \vee \overline{x_u})$, $(x_v \vee \overline{x_w})$, and $(\overline{x_v} \vee x_u \vee x_w)$.
- node v has \land and edges entering from nodes u and w: ensure $x_v = x_u \land x_w$ using clauses $(\overline{x_v} \lor x_u)$, $(\overline{x_v} \lor x_w)$, and $(x_v \lor \overline{x_u} \lor \overline{x_w})$.
- Constants at sources: single-variable clauses.
- Output: if o is the output node, use the clause (x_o) .

- Prove that K is equivalent to the input to SAT.
 - K is satisfiable \rightarrow clauses are satisfiable.

- Prove that K is equivalent to the input to SAT.
 - K is satisfiable \rightarrow clauses are satisfiable.
 - clauses are satisfiable $\rightarrow K$ is satisfiable.

- Prove that K is equivalent to the input to SAT.
 - K is satisfiable \rightarrow clauses are satisfiable.
 - ► clauses are satisfiable → K is satisfiable. Observe that we have constructed clauses so that the value assigned to a node's variable is precisely what the circuit will compute.

- Prove that K is equivalent to the input to SAT.
 - K is satisfiable \rightarrow clauses are satisfiable.
 - ► clauses are satisfiable → K is satisfiable. Observe that we have constructed clauses so that the value assigned to a node's variable is precisely what the circuit will compute.
- Converting input to SAT to an input to 3-SAT.

- Prove that K is equivalent to the input to SAT.
 - K is satisfiable \rightarrow clauses are satisfiable.
 - ► clauses are satisfiable → K is satisfiable. Observe that we have constructed clauses so that the value assigned to a node's variable is precisely what the circuit will compute.
- Converting input to SAT to an input to 3-SAT.
 - ► Create four new variables z₁, z₂, z₃, z₄ such that any satisfying assignment will have z₁ = z₂ = 0 by adding clauses

- Prove that K is equivalent to the input to SAT.
 - K is satisfiable \rightarrow clauses are satisfiable.
 - ► clauses are satisfiable → K is satisfiable. Observe that we have constructed clauses so that the value assigned to a node's variable is precisely what the circuit will compute.
- Converting input to SAT to an input to 3-SAT.
 - Create four new variables z₁, z₂, z₃, z₄ such that any satisfying assignment will have z₁ = z₂ = 0 by adding clauses (z_i ∨ z₃ ∨ z₄), and (z_i ∨ z₃ ∨ z₄), for i = 1 and i = 2.

- Prove that K is equivalent to the input to SAT.
 - K is satisfiable \rightarrow clauses are satisfiable.
 - ► clauses are satisfiable → K is satisfiable. Observe that we have constructed clauses so that the value assigned to a node's variable is precisely what the circuit will compute.
- Converting input to SAT to an input to 3-SAT.
 - Create four new variables z₁, z₂, z₃, z₄ such that any satisfying assignment will have z₁ = z₂ = 0 by adding clauses (z_i ∨ z₃ ∨ z₄), and (z_i ∨ z₃ ∨ z₄), for i = 1 and i = 2.
 - If a clause has a single term t, replace the clause with $(t \lor z_1 \lor z_2)$.

- Prove that K is equivalent to the input to SAT.
 - K is satisfiable \rightarrow clauses are satisfiable.
 - ► clauses are satisfiable → K is satisfiable. Observe that we have constructed clauses so that the value assigned to a node's variable is precisely what the circuit will compute.
- Converting input to SAT to an input to 3-SAT.
 - Create four new variables z₁, z₂, z₃, z₄ such that any satisfying assignment will have z₁ = z₂ = 0 by adding clauses (z_i ∨ z₃ ∨ z₄), and (z_i ∨ z₃ ∨ z₄), for i = 1 and i = 2.
 - If a clause has a single term t, replace the clause with $(t \lor z_1 \lor z_2)$.
 - If a clause has a two terms t and t', replace the clause with $t \lor t' \lor z_1$.

More \mathcal{NP} -Complete problems

- $\bullet \ {\rm Circuit \ Satisfiability}$ is ${\cal NP}\text{-}{\sf Complete}.$
- We just showed that CIRCUIT SATISFIABILITY $\leq_P 3$ -SAT.
- We know that
- 3-SAT \leq_{P} Independent Set \leq_{P} Vertex Cover \leq_{P} Set Cover
 - All these problems are in $\mathcal{NP}.$
 - \bullet Therefore, INDEPENDENT SET, VERTEX COVER, and SET COVER are $\mathcal{NP}\text{-}\mathsf{Complete}.$

Hamiltonian Cycle

- Problems we have seen so far involve searching over subsets of a collection of objects.
- Another type of computationally hard problem involves searching over the set of all permutations of a collection of objects.

Hamiltonian Cycle

- Problems we have seen so far involve searching over subsets of a collection of objects.
- Another type of computationally hard problem involves searching over the set of all permutations of a collection of objects.
- In a directed graph G(V, E), a cycle C is a Hamiltonian cycle if C visits each vertex exactly once.



HAMILTONIAN CYCLE **INSTANCE:** A directed graph *G*. **QUESTION:** Does *G* contain a Hamiltonian cycle?

Hamiltonian Cycle

- Problems we have seen so far involve searching over subsets of a collection of objects.
- Another type of computationally hard problem involves searching over the set of all permutations of a collection of objects.
- In a directed graph G(V, E), a cycle C is a Hamiltonian cycle if C visits each vertex exactly once.



HAMILTONIAN CYCLE **INSTANCE:** A directed graph *G*. **QUESTION:** Does *G* contain a Hamiltonian cycle?

Hamiltonian Cycle is \mathcal{NP} -Complete

• Why is the problem in \mathcal{NP} ?

Hamiltonian Cycle is *NP*-Complete

- Why is the problem in \mathcal{NP} ?
- Claim: $3\text{-SAT} \leq_P \text{HAMILTONIAN CYCLE.}$ Jump to TSP

Hamiltonian Cycle is \mathcal{NP} -Complete

- Why is the problem in \mathcal{NP} ?
- Claim: $3\text{-SAT} \leq_{P} \text{HAMILTONIAN CYCLE.}$ \bullet Jump to TSP
- Consider an arbitrary input to 3-SAT with variables x_1, x_2, \ldots, x_n and clauses C_1, C_2, \ldots, C_k
- Strategy:
 - Construct a graph G with O(nk) nodes and edges and 2^n Hamiltonian cycles with a one-to-one correspondence with 2^n truth assignments.
 - Add nodes to impose constraints arising from clauses.
 - **Output** Construction takes O(nk) time.
- G contains n paths P_1, P_2, \ldots, P_n , one for each variable.
- Each P_i contains b = 3k + 3 nodes $v_{i,1}, v_{i,2}, \dots v_{i,b}$, three for each clause and some extra nodes.

3-SAT \leq_P Hamiltonian Cycle: Constructing G



3-SAT \leq_P Hamiltonian Cycle: Modelling clauses



3-SAT \leq_P Hamiltonian Cycle: Modelling clauses
















• 3-SAT input is satisfiable $\rightarrow G$ has a Hamiltonian cycle.



- 3-SAT input is satisfiable $\rightarrow G$ has a Hamiltonian cycle.
 - ► Construct a Hamiltonian cycle C as follows:
 - If $x_i = 1$, traverse P_i from left to right in C.
 - Otherwise, traverse P_i from right to left in C.
 - For each clause C_j, there is at least one term set to 1. If the term is x_i, splice c_j into C using edge from v_{i,3j} and edge to v_{i,3j+1}. Analogous construction if term is x_i.



- G has a Hamiltonian cycle $\mathcal{C} \rightarrow$ Input to 3-SAT is satisfiable.
 - ▶ If C enters c_j on an edge from $v_{i,3j}$, it must leave c_j along the edge to $v_{i,3j+1}$.
 - Analogous statement if C enters c_j on an edge from $v_{i,3j+1}$.



- G has a Hamiltonian cycle $\mathcal{C} \rightarrow$ Input to 3-SAT is satisfiable.
 - ▶ If C enters c_j on an edge from $v_{i,3j}$, it must leave c_j along the edge to $v_{i,3j+1}$.
 - Analogous statement if C enters c_j on an edge from $v_{i,3j+1}$.
 - ▶ Nodes immediately before and after *c_j* in *C* are themselves connected by an edge *e* in *G*.



- G has a Hamiltonian cycle $\mathcal{C} \rightarrow$ Input to 3-SAT is satisfiable.
 - ▶ If C enters c_j on an edge from $v_{i,3j}$, it must leave c_j along the edge to $v_{i,3j+1}$.
 - Analogous statement if C enters c_j on an edge from $v_{i,3j+1}$.
 - ▶ Nodes immediately before and after *c_j* in *C* are themselves connected by an edge *e* in *G*.
 - If we remove all such edges e from C, we get a Hamiltonian cycle C' in $G \{c_1, c_2, \ldots, c_k\}$.
 - Use C' to construct truth assignment to variables; prove assignment is satisfying.

The Travelling Salesman Problem

- A salesman must visit *n* cities v_1, v_2, \ldots, v_n starting at home city v_1 .
- Salesman must find a *tour*, an order in which to visit each city exactly once, and return home.
- Goal is to find as short a tour as possible.

The Travelling Salesman Problem

- A salesman must visit *n* cities v_1, v_2, \ldots, v_n starting at home city v_1 .
- Salesman must find a *tour*, an order in which to visit each city exactly once, and return home.
- Goal is to find as short a tour as possible.
- For every pair of cities v_i and v_j , $d(v_i, v_j) > 0$ is the distance from v_i to v_j .
- A tour is a permutation $v_{i_1} = v_1, v_{i_2}, \ldots v_{i_n}$.
- The *length* of the tour is $\sum_{j=1}^{n-1} d(v_{i_j}v_{i_{j+1}}) + d(v_{i_n}, v_{i_1})$.

The Travelling Salesman Problem

- A salesman must visit *n* cities $v_1, v_2, \ldots v_n$ starting at home city v_1 .
- Salesman must find a *tour*, an order in which to visit each city exactly once, and return home.
- Goal is to find as short a tour as possible.
- For every pair of cities v_i and v_j , $d(v_i, v_j) > 0$ is the distance from v_i to v_j .
- A tour is a permutation $v_{i_1} = v_1, v_{i_2}, \ldots v_{i_n}$.
- The length of the tour is $\sum_{j=1}^{n-1} d(v_{i_j}v_{i_{j+1}}) + d(v_{i_n}, v_{i_1})$. TRAVELLING SALESMAN

INSTANCE: A set V of n cities, a function $d: V \times V \rightarrow \mathbb{R}^+$, and a number D > 0.

QUESTION: Is there a tour of length at most *D*?

- Why is the problem in \mathcal{NP} ?
- Why is the problem \mathcal{NP} -Complete?

- Why is the problem in \mathcal{NP} ?
- Why is the problem \mathcal{NP} -Complete?
- Claim: HAMILTONIAN CYCLE \leq_P TRAVELLING SALESMAN.

- Why is the problem in $\mathcal{NP}?$
- Why is the problem \mathcal{NP} -Complete?
- Claim: HAMILTONIAN CYCLE \leq_P TRAVELLING SALESMAN.

HAMILTONIAN CYCLE	TRAVELLING SALESMAN
Directed graph $G(V, E)$	Cities
Edges have identical weights	Distances between cities can vary
Not all pairs of nodes are connected in G	Every pair of cities has a distance
(u, v) and (v, u) may both be edges	$d(v_i,v_j) eq d(v_j,v_i)$, in general
Does a cycle exist?	Does a tour of length $\leq D$ exist?

- Why is the problem in $\mathcal{NP}?$
- Why is the problem \mathcal{NP} -Complete?
- Claim: HAMILTONIAN CYCLE \leq_P TRAVELLING SALESMAN.

HAMILTONIAN CYCLE	TRAVELLING SALESMAN
Directed graph $G(V, E)$	Cities
Edges have identical weights	Distances between cities can vary
Not all pairs of nodes are connected in G	Every pair of cities has a distance
(u, v) and (v, u) may both be edges	$d(v_i, v_j) \neq d(v_j, v_i)$, in general
Does a cycle exist?	Does a tour of length $\leq D$ exist?

• Given a directed graph G(V, E) (input to HAMILTONIAN CYCLE),

- Create a city v_i for each node $i \in V$.
- Define $d(v_i, v_j) = 1$ if $(i, j) \in E$.
- Define $d(v_i, v_j) = 2$ if $(i, j) \notin E$.

- Why is the problem in \mathcal{NP} ?
- Why is the problem \mathcal{NP} -Complete?
- Claim: HAMILTONIAN CYCLE \leq_P TRAVELLING SALESMAN.

TRAVELLING SALESMAN
Cities
Distances between cities can vary
Every pair of cities has a distance
$d(\mathit{v}_i, \mathit{v}_j) eq d(\mathit{v}_j, \mathit{v}_i)$, in general
Does a tour of length $\leq D$ exist?

- Given a directed graph G(V, E) (input to HAMILTONIAN CYCLE),
 - Create a city v_i for each node $i \in V$.
 - Define $d(v_i, v_j) = 1$ if $(i, j) \in E$.
 - Define $d(v_i, v_j) = 2$ if $(i, j) \notin E$.
- Claim: G has a Hamiltonian cycle iff the input to Travelling Salesman has a tour of length at most

- Why is the problem in \mathcal{NP} ?
- Why is the problem \mathcal{NP} -Complete?
- Claim: HAMILTONIAN CYCLE \leq_P TRAVELLING SALESMAN.

HAMILTONIAN CYCLE	TRAVELLING SALESMAN
Directed graph $G(V, E)$	Cities
Edges have identical weights	Distances between cities can vary
Not all pairs of nodes are connected in G	Every pair of cities has a distance
(u,v) and (v,u) may both be edges	$d(v_i,v_j) eq d(v_j,v_i)$, in general
Does a cycle exist?	Does a tour of length $\leq D$ exist?

- Given a directed graph G(V, E) (input to HAMILTONIAN CYCLE),
 - Create a city v_i for each node $i \in V$.
 - Define $d(v_i, v_j) = 1$ if $(i, j) \in E$.
 - Define $d(v_i, v_j) = 2$ if $(i, j) \notin E$.
- Claim: G has a Hamiltonian cycle iff the input to Travelling Salesman has a tour of length at most n.

Special Cases and Extensions that are \mathcal{NP} -Complete



- HAMILTONIAN CYCLE for undirected graphs.
- HAMILTONIAN PATH for directed and undirected graphs.
- TRAVELLING SALESMAN with symmetric distances (by reducing HAMILTONIAN CYCLE for undirected graphs to it).
- TRAVELLING SALESMAN with distances defined by points on the plane.



BIPARTITE MATCHING

INSTANCE: Disjoint sets X, Y, each of size n, and a set $T \subseteq X \times Y$ of pairs

QUESTION: Is there a set of *n* pairs in *T* such that each element of $X \cup Y$ is contained in exactly one of these pairs?



BIPARTITE MATCHING

INSTANCE: Disjoint sets X, Y, each of size n, and a set $T \subseteq X \times Y$ of pairs

QUESTION: Is there a set of *n* pairs in *T* such that each element of $X \cup Y$ is contained in exactly one of these pairs?



• 3-DIMENSIONAL MATCHING is a harder version of BIPARTITE MATCHING. BIPARTITE MATCHING **INSTANCE:** Disjoint sets X, Y, each of size n, and a set $T \subseteq X \times Y$ of pairs **QUESTION:** Is there a set of n pairs in T such that each element of

 $X \cup Y$ is contained in exactly one of these pairs?



• 3-DIMENSIONAL MATCHING is a harder version of BIPARTITE MATCHING. 3-DIMENSIONAL MATCHING **INSTANCE:** Disjoint sets X, Y, and Z, each of size n, and a set $T \subseteq X \times Y \times Z$ of triples **QUESTION:** Is there a set of n triples in T such that each element of $X \cup Y \cup Z$ is contained in exactly one of these triples?



• 3-DIMENSIONAL MATCHING is a harder version of BIPARTITE MATCHING. 3-DIMENSIONAL MATCHING **INSTANCE:** Disjoint sets X, Y, and Z, each of size n, and a set

 $T \subseteq X \times Y \times Z$ of triples

QUESTION: Is there a set of *n* triples in T such that each element of $X \cup Y \cup Z$ is contained in exactly one of these triples?

• Easy to show 3-DIMENSIONAL MATCHING \leq_P SET COVER and 3-DIMENSIONAL MATCHING \leq_P SET PACKING.

3-Dimensional Matching is \mathcal{NP}-Complete

• Why is the problem in $\mathcal{NP}?$

3-Dimensional Matching is \mathcal{NP} -Complete

- Why is the problem in \mathcal{NP} ?
- Show that $3\text{-SAT} \leq_P 3\text{-DIMENSIONAL MATCHING}$. Jump to Colouring
- Strategy:
 - ► Start with an input to 3-SAT with *n* variables and *k* clauses.
 - Create a gadget for each variable x_i that encodes the choice of truth assignment to x_i.
 - Add gadgets that encode constraints imposed by clauses.

3-SAT \leq_P **3-Dimensional Matching: Variables**



Figure 8.9 The reduction from 3-SAT to 3-Dimensional Matching.

- Each x_i corresponds to a variable gadget *i* with 2k core elements $A_i = \{a_{i,1}, a_{i,2}, \dots a_{i,2k}\}$ and 2k tips $B_i = \{b_{i,1}, b_{i,2}, \dots b_{i,2k}\}.$
- For each 1 ≤ j ≤ 2k, variable gadget i includes a triple t_{ij} = (a_{i,j}, a_{i,j+1}, b_{i,j}).
- A triple (tip) is *even* if *j* is even. Otherwise, the triple (tip) is *odd*.
- Only these triples contain elements in A_i .

3-SAT \leq_P **3-Dimensional Matching: Variables**



- Each x_i corresponds to a variable gadget *i* with 2k core elements $A_i = \{a_{i,1}, a_{i,2}, \dots a_{i,2k}\}$ and 2k tips $B_i = \{b_{i,1}, b_{i,2}, \dots b_{i,2k}\}.$
- For each 1 ≤ j ≤ 2k, variable gadget i includes a triple t_{ij} = (a_{i,j}, a_{i,j+1}, b_{i,j}).
- A triple (tip) is *even* if *j* is even. Otherwise, the triple (tip) is *odd*.
- Only these triples contain elements in A_{i} .

• In any perfect matching, we can cover the elements in A_i

3-SAT \leq_P **3-Dimensional Matching: Variables**



- Each x_i corresponds to a variable gadget *i* with 2k core elements $A_i = \{a_{i,1}, a_{i,2}, \dots, a_{i,2k}\}$ and 2k tips $B_i = \{b_{i,1}, b_{i,2}, \dots, b_{i,2k}\}.$
- For each 1 ≤ j ≤ 2k, variable gadget i includes a triple t_{ij} = (a_{i,j}, a_{i,j+1}, b_{i,j}).
- A triple (tip) is *even* if *j* is even. Otherwise, the triple (tip) is *odd*.
- Only these triples contain elements in A_i .
- In any perfect matching, we can cover the elements in A_i either using all the even triples in gadget *i* or all the odd triples in the gadget.
- Even triples used, odd tips free $\equiv x_i = 0$; odd triples used, even tips free $\equiv x_i = 1$.

3-SAT \leq_P **3-Dimensional Matching: Clauses**

• Consider the clause $C_1 = x_1 \vee \overline{x_2} \vee x_3$.



Figure 8.9 The reduction from 3-SAT to 3-Dimensional Matching.

3-SAT \leq_P **3-Dimensional Matching: Clauses**



Figure 8.9 The reduction from 3-SAT to 3-Dimensional Matching.

• Consider the clause $C_1 = x_1 \vee \overline{x_2} \vee x_3$.

• C₁ says "The matching on the cores of the gadgets should leave the even tips of gadget 1 free; or it should leave the odd tips of gadget 2 free; or it should leave the even tips of gadget 3 free."

3-SAT \leq_P **3-Dimensional Matching: Clauses**



Figure 8.9 The reduction from 3-SAT to 3-Dimensional Matching.

• Consider the clause $C_1 = x_1 \vee \overline{x_2} \vee x_3$.

- C₁ says "The matching on the cores of the gadgets should leave the even tips of gadget 1 free; or it should leave the odd tips of gadget 2 free; or it should leave the even tips of gadget 3 free."
- *Clause gadget j* for clause *C_j* contains two core elements *P_j* = {*p_j*, *p'_j*} and three triples:
 - C_j contains x_i : add triple $(p_j, p'_j, b_{i,2j})$.
 - C_j contains $\overline{x_i}$: add triple $(p_j, p'_j, b_{i,2j-1})$.

3-SAT \leq_P **3-Dimensional Matching: Example**



Figure 8.9 The reduction from 3-SAT to 3-Dimensional Matching.

3-SAT \leq_P **3-Dimensional Matching: Proof**

 $\bullet~$ Satisfying assignment $\rightarrow~$ matching.
- $\bullet~$ Satisfying assignment $\rightarrow~$ matching.
 - Make appropriate choices for the core of each variable gadget.
 - At least one free tip available for each clause gadget, allowing core elements of clause gadgets to be covered.

- $\bullet~$ Satisfying assignment $\rightarrow~$ matching.
 - Make appropriate choices for the core of each variable gadget.
 - At least one free tip available for each clause gadget, allowing core elements of clause gadgets to be covered.
 - We have not covered all the tips!

- $\bullet~$ Satisfying assignment $\rightarrow~$ matching.
 - Make appropriate choices for the core of each variable gadget.
 - At least one free tip available for each clause gadget, allowing core elements of clause gadgets to be covered.
 - We have not covered all the tips!
 - Add (n − 1)k cleanup gadgets to allow the remaining (n − 1)k tips to be covered: cleanup gadget i contains two core elements Q = {q_i, q_i'} and triple (q_i, q_i', b) for every tip b in variable gadget i.

- $\bullet~$ Satisfying assignment $\rightarrow~$ matching.
 - Make appropriate choices for the core of each variable gadget.
 - At least one free tip available for each clause gadget, allowing core elements of clause gadgets to be covered.
 - We have not covered all the tips!
 - Add (n − 1)k cleanup gadgets to allow the remaining (n − 1)k tips to be covered: cleanup gadget i contains two core elements Q = {q_i, q_i'} and triple (q_i, q_i', b) for every tip b in variable gadget i.
- $\bullet \ \mbox{Matching} \rightarrow \mbox{satisfying assignment}.$

- $\bullet~$ Satisfying assignment $\rightarrow~$ matching.
 - Make appropriate choices for the core of each variable gadget.
 - At least one free tip available for each clause gadget, allowing core elements of clause gadgets to be covered.
 - We have not covered all the tips!
 - Add (n − 1)k cleanup gadgets to allow the remaining (n − 1)k tips to be covered: cleanup gadget i contains two core elements Q = {q_i, q_i'} and triple (q_i, q_i', b) for every tip b in variable gadget i.
- $\bullet~\mbox{Matching} \rightarrow \mbox{satisfying assignment.}$
 - Matching chooses all even a_{ij} ($x_i = 0$) or all odd a_{ij} ($x_i = 1$).

- $\bullet~$ Satisfying assignment $\rightarrow~$ matching.
 - Make appropriate choices for the core of each variable gadget.
 - At least one free tip available for each clause gadget, allowing core elements of clause gadgets to be covered.
 - We have not covered all the tips!
 - Add (n − 1)k cleanup gadgets to allow the remaining (n − 1)k tips to be covered: cleanup gadget i contains two core elements Q = {q_i, q_i'} and triple (q_i, q_i', b) for every tip b in variable gadget i.
- $\bullet~$ Matching \rightarrow satisfying assignment.
 - Matching chooses all even a_{ij} ($x_i = 0$) or all odd a_{ij} ($x_i = 1$).
 - Is clause C_j satisfied?

- $\bullet~$ Satisfying assignment $\rightarrow~$ matching.
 - Make appropriate choices for the core of each variable gadget.
 - At least one free tip available for each clause gadget, allowing core elements of clause gadgets to be covered.
 - We have not covered all the tips!
 - Add (n − 1)k cleanup gadgets to allow the remaining (n − 1)k tips to be covered: cleanup gadget i contains two core elements Q = {q_i, q_i'} and triple (q_i, q_i', b) for every tip b in variable gadget i.
- $\bullet~\mbox{Matching} \rightarrow \mbox{satisfying assignment}.$
 - Matching chooses all even a_{ij} ($x_i = 0$) or all odd a_{ij} ($x_i = 1$).
 - Is clause C_j satisfied? Core in clause gadget j is covered by some triple ⇒ other element in the triple must be a tip element from the correct odd/even set in the three variable gadgets corresponding to a term in C_j.

• Did we create an input to 3-DIMENSIONAL MATCHING?

- Did we create an input to 3-DIMENSIONAL MATCHING?
- We need three sets X, Y, and Z of equal size.

- Did we create an input to 3-DIMENSIONAL MATCHING?
- We need three sets X, Y, and Z of equal size.
- How many elements do we have?
 - ▶ 2nk a_{ij} elements.
 - 2nk b_{ij} elements.
 - ▶ *k p_j* elements.
 - $k p'_i$ elements.
 - $(n-1)k q_i$ elements.
 - $(n-1)k q'_i$ elements.

- Did we create an input to 3-DIMENSIONAL MATCHING?
- We need three sets X, Y, and Z of equal size.
- How many elements do we have?
 - ▶ 2*nk a_{ij}* elements.
 - ▶ 2nk b_{ij} elements.
 - ▶ *k p_j* elements.
 - $k p'_j$ elements.
 - $(n-1)k q_i$ elements.
 - $(n-1)k q'_i$ elements.
- X is the union of a_{ij} with even j, the set of all p_j and the set of all q_i .
- Y is the union of a_{ij} with odd j, the set if all p'_i and the set of all q'_i .
- Z is the set of all b_{ij} .

- Did we create an input to 3-DIMENSIONAL MATCHING?
- We need three sets X, Y, and Z of equal size.
- How many elements do we have?
 - ▶ 2*nk a_{ij}* elements.
 - ▶ 2nk b_{ij} elements.
 - ▶ *k p_j* elements.
 - $k p'_j$ elements.
 - $(n-1)k q_i$ elements.
 - $(n-1)k q'_i$ elements.
- X is the union of a_{ij} with even j, the set of all p_j and the set of all q_i .
- Y is the union of a_{ij} with odd j, the set if all p'_i and the set of all q'_i .
- Z is the set of all b_{ij} .
- Each triple contains exactly one element from X, Y, and Z.

Colouring maps



Colouring maps



• Any map can be coloured with four colours (Appel and Hakken, 1976).

Graph Colouring



• Given an undirected graph G(V, E), a *k*-colouring of G is a function $f: V \to \{1, 2, ..., k\}$ such that for every edge $(u, v) \in E$, $f(u) \neq f(v)$.

Partitioning Problems



Given an undirected graph G(V, E), a k-colouring of G is a function f: V → {1,2,...k} such that for every edge (u, v) ∈ E, f(u) ≠ f(v). GRAPH COLOURING (k-COLOURING)
INSTANCE: An undirected graph G(V, E) and an integer k > 0. QUESTION: Does G have a k-colouring?

Applications of Graph Colouring

- Job scheduling: assign jobs to n processors under constraints that certain pairs of jobs cannot be scheduled at the same time.
- Ompiler design: assign variables to k registers but two variables being used at the same time cannot be assigned to the same register.
- Wavelength assignment: assign one of k transmitting wavelengths to each of n wireless devices. If two devices are close to each other, they must get different wavelengths.

• How hard is 2-COLOURING?

- How hard is 2-COLOURING?
- Claim: A graph is 2-colourable if and only if it is bipartite.

- How hard is 2-COLOURING?
- Claim: A graph is 2-colourable if and only if it is bipartite.
- Testing 2-colourability is possible in O(|V| + |E|) time.

- How hard is 2-COLOURING?
- Claim: A graph is 2-colourable if and only if it is bipartite.
- Testing 2-colourability is possible in O(|V| + |E|) time.
- What about 3-COLOURING? Is it easy to exhibit a certificate that a graph *cannot* be coloured with three colours?



Figure 8.10 A graph that is not 3-colorable.

3-Colouring is $\mathcal{NP}\text{-}\text{Complete}$

• Why is 3-Colouring in \mathcal{NP} ?

3-Colouring is $\mathcal{NP}\text{-}\text{Complete}$

- Why is 3-Colouring in \mathcal{NP} ?
- $3\text{-SAT} \leq_P 3\text{-COLOURING}$. Jump to other problems

Strategy	3-SAT	Sequencing	Problems	Partitioning Proble	ms Other Pro
	$3-SAT \leq_P S$	3-Colo	uring:	Encoding	Variables
	3-SAT			3-Colourin	G
	Boolean variable	S			
	True or False				
	Clauses				
· · ·		-	-		

Is there a satisfying assignment?

Does a 3-colouring exist?

$3\text{-}\mathrm{SAT}$	3-Colouring
Boolean variables	Nodes
True or False	Colours called True, False, and Base
Clauses	
Is there a satisfying assignment?	Does a 3-colouring exist?

3-SAT	3-Colouring
Boolean variables	Nodes
True or False	Colours called True, False, and Base
Clauses	"Gadget"
Is there a satisfying assignment?	Does a 3-colouring exist?

3-SAT \leq_P **3-Colouring: Encoding Variables**

3-SAT	3-Colouring
Boolean variables	Nodes
True or False	Colours called True, False, and Base
Clauses	"Gadget"
Is there a satisfying assignment?	Does a 3-colouring exist?



Figure 8.11 The beginning of the reduction for 3-Coloring.

3-SAT \leq_P **3-Colouring: Encoding Variables**

3-SAT	3-Colouring
Boolean variables	Nodes
True or False	Colours called True, False, and Base
Clauses	"Gadget"
Is there a satisfying assignment?	Does a 3-colouring exist?



Figure 8.11 The beginning of the reduction for 3-Coloring.

• x_i corresponds to node v_i and $\overline{x_i}$ corresponds to node $\overline{v_i}$.

3-SAT \leq_P **3-Colouring: Encoding Variables**

3-SAT	3-Colouring
Boolean variables	Nodes
True or False	Colours called True, False, and Base
Clauses	"Gadget"
Is there a satisfying assignment?	Does a 3-colouring exist?



Figure 8.11 The beginning of the reduction for 3-Coloring.

- x_i corresponds to node v_i and $\overline{x_i}$ corresponds to node $\overline{v_i}$.
- In any 3-Colouring, nodes v_i and $\overline{v_i}$ get a colour different from *Base*.
- *True colour*: colour assigned to the *True* node; *False colour*: colour assigned to the *False* node.
- Set *x_i* to 1 iff *v_i* gets the *True* colour.

• Consider the clause $C_1 = x_1 \lor \overline{x_2} \lor x_3.$



Figure 8.12 Attaching a subgraph to represent the clause $x_1 \lor \overline{x}_2 \lor x_3$.

- Consider the clause $C_1 = x_1 \lor \overline{x_2} \lor x_3.$
- Attach a six-node subgraph for this clause to the rest of the graph.



Figure 8.12 Attaching a subgraph to represent the clause $x_1 \lor \overline{x}_2 \lor x_3$.

• Consider the clause $C_1 = x_1 \lor \overline{x_2} \lor x_3.$

- Attach a six-node subgraph for this clause to the rest of the graph.
- Attach a copy of six-node subgraph similarly for every other clause.



• Consider the clause $C_1 = x_1 \lor \overline{x_2} \lor x_3.$

- Attach a six-node subgraph for this clause to the rest of the graph.
- Attach a copy of six-node subgraph similarly for every other clause.

Figure 8.12 Attaching a subgraph to represent the clause $x_1 \lor \overline{x}_2 \lor x_3$.

• Claim: If all of of v_1 , $\overline{v_2}$, or v_3 get the *False* colour, then the top node in the subgraph cannot be coloured in a 3-colouring.



- Consider the clause $C_1 = x_1 \lor \overline{x_2} \lor x_3.$
- Attach a six-node subgraph for this clause to the rest of the graph.
- Attach a copy of six-node subgraph similarly for every other clause.

Figure 8.12 Attaching a subgraph to represent the clause $x_1 \lor \overline{x}_2 \lor x_3$.

- Claim: If all of of v_1 , $\overline{v_2}$, or v_3 get the *False* colour, then the top node in the subgraph cannot be coloured in a 3-colouring.
- Claim: If at least one of v_1 , $\overline{v_2}$, or v_3 does not get the *False* colour, then the top node in the subgraph can be coloured in a 3-colouring.



- Consider the clause $C_1 = x_1 \lor \overline{x_2} \lor x_3.$
- Attach a six-node subgraph for this clause to the rest of the graph.
- Attach a copy of six-node subgraph similarly for every other clause.

Figure 8.12 Attaching a subgraph to represent the clause $x_1 \lor \overline{x}_2 \lor x_3$.

- Claim: If all of of v_1 , $\overline{v_2}$, or v_3 get the *False* colour, then the top node in the subgraph cannot be coloured in a 3-colouring.
- Claim: If at least one of v_1 , $\overline{v_2}$, or v_3 does not get the *False* colour, then the top node in the subgraph can be coloured in a 3-colouring.
- Claim: Graph is 3-colourable iff input to 3-SAT is satisfiable.

Subset Sum

SUBSET SUM **INSTANCE:** A set of *n* natural numbers w_1, w_2, \ldots, w_n and a target *W*. **QUESTION:** Is there a subset of $\{w_1, w_2, \ldots, w_n\}$ whose sum is *W*?
SUBSET SUM **INSTANCE:** A set of *n* natural numbers w_1, w_2, \ldots, w_n and a target *W*. **QUESTION:** Is there a subset of $\{w_1, w_2, \ldots, w_n\}$ whose sum is *W*?

• SUBSET SUM is a special case of the KNAPSACK PROBLEM (see Chapter 6.4 of the textbook).

- SUBSET SUM is a special case of the KNAPSACK PROBLEM (see Chapter 6.4 of the textbook).
- There is a dynamic programming algorithm for SUBSET SUM that runs in O(nW) time.

- SUBSET SUM is a special case of the KNAPSACK PROBLEM (see Chapter 6.4 of the textbook).
- There is a dynamic programming algorithm for SUBSET SUM that runs in O(nW) time. This algorithm's running time is exponential in the size of the input.

- SUBSET SUM is a special case of the KNAPSACK PROBLEM (see Chapter 6.4 of the textbook).
- There is a dynamic programming algorithm for SUBSET SUM that runs in O(nW) time. This algorithm's running time is exponential in the size of the input.
- Claim: SUBSET SUM is NP-Complete,
 3-DIMENSIONAL MATCHING ≤_P SUBSET SUM.

- SUBSET SUM is a special case of the KNAPSACK PROBLEM (see Chapter 6.4 of the textbook).
- There is a dynamic programming algorithm for SUBSET SUM that runs in O(nW) time. This algorithm's running time is exponential in the size of the input.
- Claim: SUBSET SUM is NP-Complete,
 3-DIMENSIONAL MATCHING ≤_P SUBSET SUM.
- Caveat: Special case of SUBSET SUM in which W is bounded by a polynomial function of n is not \mathcal{NP} -Complete (read pages 494–495 of your textbook).





Cornell University	
Library	
arXiv.org > cs > arXiv:1403.5830	Search or Article ID inside arXiv All papers V Q Broaden y (Help Advanced search)

Computer Science > Computational Complexity

Bejeweled, Candy Crush and other Match-Three Games are (NP-)Hard

Luciano Gualà, Stefano Leucci, Emanuele Natale

(Submitted on 24 Mar 2014)

The twentieth century has seen the rise of a new type of video games targeted at a mass audience of "casual" gamers. Many of these games require the player to swap items in order to form matches of three and are collectively known as \emph{tile=matching match-three games}. Among these, the most influential one is arguably \emph{Bejeweled} in which the matched items (gems) pop and the above gems fall in their place. Bejeweled has been ported to many different platforms and influenced an incredible number of similar games. Very recently one of them, named \emph{Candy Crush Saga} enjoyed a huge popularity and quickly went viral on social networks. We generalize this kind of games by only parameterizing the size of the board, while all the other elements (such as the rules or the number of gems) muchanged. Then, we prove that answering many natural questions regarding such games is actually (NP-Hard. These questions include determining if the player can reach a certain score, play for a certain number of turns, and others.

F	D	2	1	2	1
A	A	З	1	4	В
2	2	З	1	5	В
		1	1	4	В
	1	1	1	2	В
	1	C	E	E	Ε

Fig.1 A Typical Minesweeper Position



Fig.2 Impossible Minesweeper position.



Fig.1 A Typical Minesweeper Position



Fig.2 Impossible Minesweeper position.

RICHARD KAYE

Minesweeper is NP-complete





Tetris is Hard, Even to Approximate

Erik D. Demaine, Susan Hohenberger, David Liben-Nowell

(Submitted on 21 Oct 2002)

In the popular computer game of Tetris, the player is given a sequence of tetromino pieces and must pack them into a rectangular gameboard initially occupied by a given configuration of filled squares; any completely filled row of the gameboard is cleared and all pieces above it drop by one row. We prove that in the offline version of Tetris, it is NP-complete to maximize the number of cleared rows, maximize the number of tetrises (quadruples of rows simultaneously filled and cleared), minimize the maximum height of an occupied square, or maximize the number of pieces placed before the game ends. We furthermore show the extreme inapproximability of the first and last of these objectives to within a factor of $p^{(1}$ -epsilon), when given a sequence of p pieces, and the inapproximability of the first and last of any epsilon-0. Our results hold under several variations on the rules of Tetris, including different models of rotation, limitations on player agility, and restricted piece sets.

(from Kevin Wayne's slides at Princeton University)

- Aerospace engineering: optimal mesh partitioning for finite elements.
- Biology: protein folding.
- Chemical engineering: heat exchanger network synthesis.
- Civil engineering: equilibrium of urban traffic flow.
- Economics: computation of arbitrage in financial markets with friction.
- Electrical engineering: VLSI layout.
- Environmental engineering: optimal placement of contaminant sensors.
- Financial engineering: find minimum risk portfolio of given return.
- Game theory: find Nash equilibrium that maximizes social welfare.
- Genomics: phylogeny reconstruction.
- Mechanical engineering: structure of turbulence in sheared flows.
- Medicine: reconstructing 3-D shape from biplane angiocardiogram.
- Operations research: optimal resource allocation.
- Physics: partition function of 3-D Ising model in statistical mechanics.
- Politics: Shapley-Shubik voting power.
- Pop culture: Minesweeper consistency.
- Statistics: optimal experimental design.