Greedy Algorithms

T. M. Murali

February 12, 14, 19, 2024

Algorithm Design

- Start discussion of different ways of designing algorithms.
- Greedy algorithms, divide and conquer, dynamic programming.
- Discuss principles that can solve a variety of problem types.
- Design an algorithm, prove its correctness, analyse its complexity.

Algorithm Design

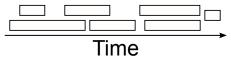
- Start discussion of different ways of designing algorithms.
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- Discuss principles that can solve a variety of problem types.
- Design an algorithm, prove its correctness, analyse its complexity.
- Greedy algorithms: make the current best choice.





- Input: Start and end time of each ride.
- Constraint: Cannot be in two places at one time.
- Goal: Compute the largest number of rides you can be on in one day.

Interval Scheduling



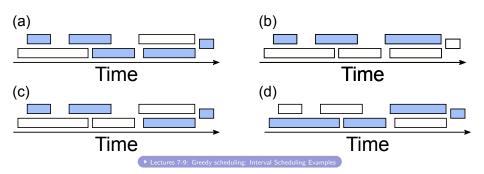
INTERVAL SCHEDULING

INSTANCE: Set $\{(s(i), f(i)), 1 \le i \le n\}$ of start and finish times of n jobs.

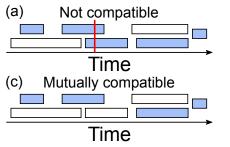
SOLUTION: The largest subset of mutually compatible jobs.

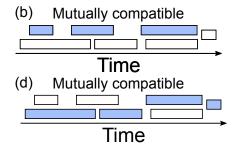
- Two jobs are *compatible* if they do not overlap.
- This problem models the situation where you have a resource, a set of fixed jobs, and you want to schedule as many jobs as possible.
- For any input set of jobs, algorithm must provably compute the largest set of compatible jobs.

Interval Scheduling Example



Interval Scheduling Example





- Solutions (c) and (d) are optimal.
 - Each contains four jobs.
 - No set of mutually compatible jobs can contain more than four jobs.

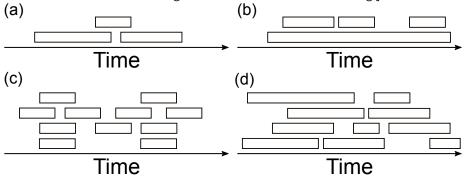
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- Key question: in what order should we process the jobs? Earliest start time Increasing order of start time s(i). Earliest finish time Increasing order of finish time f(i). Shortest interval Increasing order of length f(i) - s(i). Fewest conflicts Increasing order of the number of conflicting jobs.

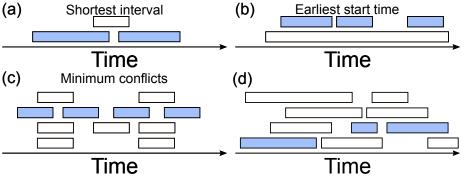
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Interval Scheduling Algorithm: Earliest Finish Time

• Schedule jobs in order of earliest finish time (EFT).

```
Initially let R be the set of all requests, and let A be empty
While R is not yet empty
Choose a request i \in R that has the smallest finishing time
Add request i to A
Delete all requests from R that are not compatible with request i
EndWhile
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• Claim: A is a compatible set of jobs. Proof follows by construction, i.e., the algorithm computes a compatible set of jobs.

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 - How do we measure progress of the algorithm?

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- Proof idea 2: at each step, can we show algorithm has the "better" solution than any other answer?
 - What does "better" mean?
 - How do we measure progress of the algorithm?
- Basic idea of proof:
 - We can sort jobs in any solution in increasing order of their finishing time.
 - ► Finishing time of job number r selected by A ≤ finishing time of job number r selected by any other algorithm.

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- Let i_1, i_2, \ldots, i_k be the set of jobs in A in order.
- Let j_1, j_2, \ldots, j_m be the set of jobs in O in order, $m \ge k$.
- Claim: For all indices $r \leq k$, $f(i_r) \leq f(j_r)$.

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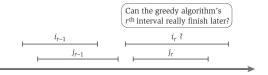


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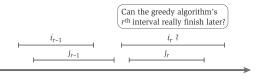


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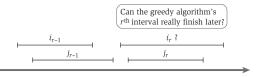
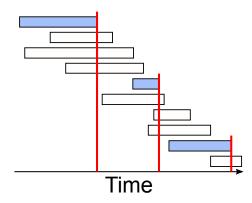


Figure 4.3 The inductive step in the proof that the greedy algorithm stays ahead.

- Claim: m = k.
- Claim: The greedy algorithm returns an optimal set A.

Implementing the EFT Algorithm

- Reorder jobs so that they are in increasing order of finish time.
- Store starting time of jobs in an array *S*.
- **3** k = 1.
- While $k \leq |S|$,
 - Output job k.
 - 2 Let finish time of job k be f.
 - Iterate over S from index k onwards to find the first index i such that S[i] ≥ f.



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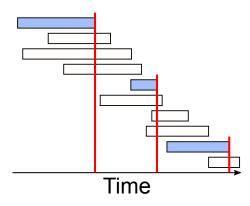
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- Must be careful to iterate over S such that we never scan same index more than once.
- Running time is $O(n \log n)$, dominated by sorting.



Interval Scheduling		Interval Partitioning							Minimising Lateness		
12616	CS-4104	Data and Algorithm Analysis	L	3	75	CA Shaffer	T R	2:00PM	3:15PM	SURGE 107	14T
18154	CS-4104	Data and Algorithm Analysis	L	3	70	TM Murali	MW	2:30PM	3:45PM	SURGE 104C	14M
12617	CS-4114	Formal Languages	L	3	75	L Zhang	T R	9:30AM	10:45AM	MCB 129	09T
18155	CS-4204	Computer Graphics	L	3	36	D Gracanin	T R	11:00AM	12:15PM	MCB 224	11T
19593	CS-4264	Principles Computer Security	L	3	50	KE Giles	MW	2:30PM	3:45PM	GOODW 135	14M
12618	CS-4284	Systems & Networking Capstone	L	3	40	GV Back	MW	2:30PM	3:45PM	MCB 238	14M
18156	CS-4304	Compiler Design	L	3	50	C Jung	T R	8:00AM	9:15AM	GOODW 125	08T
12620	CS-4604	Int Data Base Mgt Sys	L	3	55	RJ Quintin	MW	4:00PM	5:15PM	SURGE 109	16M
12621	CS-4624	Multimedia/Hypertext	L	3	70	EA Fox	T R	3:30PM	4:45PM	SURGE 109	15T
12622	CS-4644	Creative Computing Studio	L	3	25	SR Harrison	w	2:30PM	5:15PM	MAC 253A	14W
Comme	nts for CRN 12622:	Prerequisite: C or better in CS 3724 OR CS 3744									
12623	CS-4654	Intermed Data Analytics & ML	L	3	50	RB Gramacy	MW	4:00PM	5:15PM	SEITZ 313	16M
12624	CS-4704	Software Engineering Capstone	L	3	15	KR Edmison	MW	4:00PM	5:15PM	NCB 170	16M
Comments for CRN 12624:		Prerequisite: C or better in CS 3704 OR CS 3714									
12625	CS-4784	Human-Computer Interact Capstn	L	3	30	AL Kavanaugh	F	1:00PM	3:45PM	MAC 253A	13F
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- Input: Start and end time of each class.
- Constraint: Cannot schedule two overlapping classes to the same room.
- Output: Assign each class to a room and use smallest number of rooms possible.

Interval Partitioning

INTERVAL PARTITIONING

INSTANCE: Set $\{(s(i), f(i)), 1 \le i \le n\}$ of start and finish times of n jobs.

SOLUTION: A partition of the jobs into k sets, where each set of jobs is mutually compatible, and k is minimised.

• This problem models the situation where you a set of fixed jobs, and you want to schedule all jobs using as few resources as possible.

Depth of Intervals

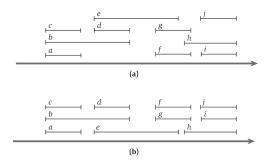


Figure 4.4 (a) An instance of the Interval Partitioning Problem with ten intervals (a through *j*). (b) A solution in which all intervals are scheduled using three resources: each row represents a set of intervals that can all be scheduled on a single resource.

• The *depth* of a set of intervals is the maximum number of intervals that contain any time point.

Depth of Intervals

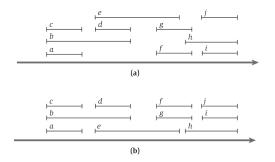


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- Claim: In any instance of INTERVAL PARTITIONING, $k \ge depth$.

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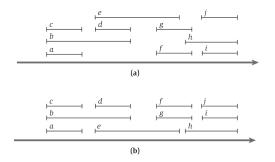


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- The *depth* of a set of intervals is the maximum number of intervals that contain any time point.
- Claim: In any instance of INTERVAL PARTITIONING, $k \ge depth$.
- Is it possible to compute the depth efficiently? Is k = depth?

Computing the Depth of the Intervals

• How efficiently can we compute the depth of a set of intervals?

Computing the Depth of the Intervals

- How efficiently can we compute the depth of a set of intervals?
- Sort the start times and finish times of the jobs into a single list *L*.
- $d \leftarrow 0.$
- For i ranging from 1 to 2n
 - If L_i is a start time, increment d by 1.
 - **2** If L_i is a finish time, decrement d by 1.
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- Return the largest value of d computed in the loop.
 - Algorithm runs in $O(n \log n)$ time.

• First, compute the depth *d* of the intervals.

```
Sort the intervals by their start times, breaking ties arbitrarily

Let I_1, I_2, \ldots, I_n denote the intervals in this order

For j = 1, 2, 3, \ldots, n

For each interval I_i that precedes I_j in sorted order and overlaps it

Exclude the label of I_i from consideration for I_j

Endfor

If there is any label from \{1, 2, \ldots, d\} that has not been excluded then

Assign a nonexcluded label to I_j

Else

Leave I_j unlabeled

Endif

Endfor
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• Claim: Every interval gets a label and no pair of overlapping intervals get the same label.

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- Claim: Every interval gets a label and no pair of overlapping intervals get the same label.
- Claim: The greedy algorithm is optimal.
- The running time of the algorithm is $O(n \log n)$. Can modify algorithm for computing depth to maintain set of available labels and to assign them efficiently.

Scheduling to Minimise Lateness

- Study different model: job i has a length t(i) and a deadline d(i).
- We want to schedule all *n* jobs on one resource.
- Our goal is to assign a starting time s(i) to each job such that each job is delayed as little as possible.

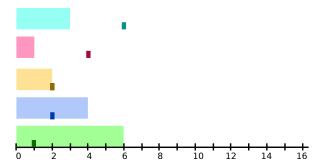
Scheduling to Minimise Lateness

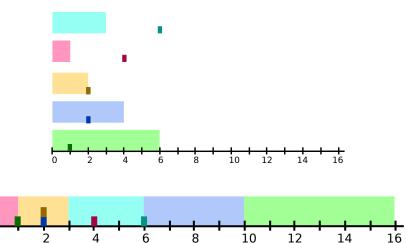
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- We want to schedule all *n* jobs on one resource.
- Our goal is to assign a starting time s(i) to each job such that each job is delayed as little as possible.
- A job *i* is delayed if f(i) > d(i); the lateness of the job is

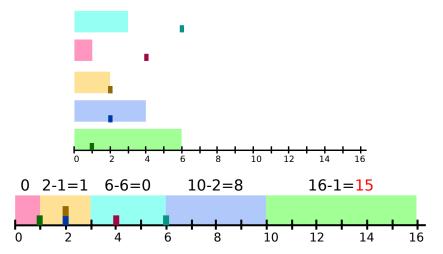
 $\max(0, f(i) - d(i)).$

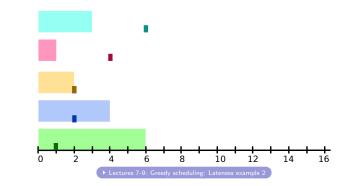
• The lateness of a schedule is

$$\max_{1 \le i \le n} \big(\max \big(0, f(i) - d(i) \big) \big).$$

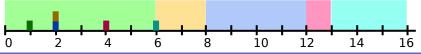


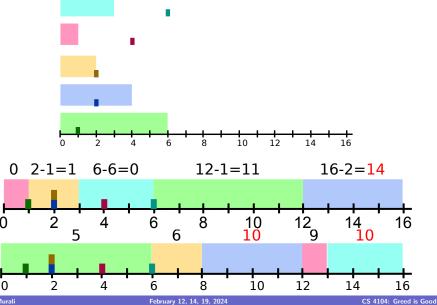










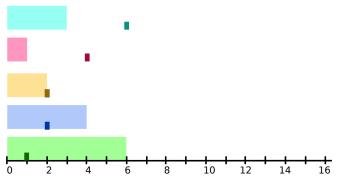


n

Scheduling to Minimise Lateness

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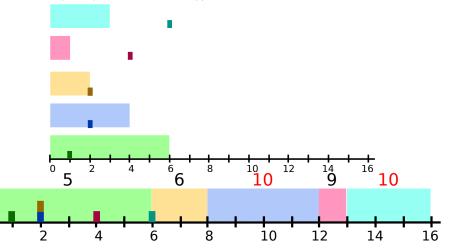
SOLUTION: Set $\{s(i), 1 \le i \le n\}$ of start times such that $\max_{1 \le i \le n} (\max(0, s(i) + t(i) - d(i)))$ is as small as possible.



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Shortest slack time Increasing order of d(i) - t(i).

Earliest deadline Increasing order of deadline d(i).

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$$\begin{array}{c|ccc} i & 1 & 2 \\ \hline t(i) & 1 & 10 \\ \hline d(i) & 100 & 10 \\ \end{array}$$

Shortest slack time Increasing order of d(i) - t(i). Job with smallest slack may take a long time.

$$\begin{array}{c|cccc} i & 1 & 2 \\ \hline t(i) & 1 & 10 \\ \hline d(i) & 2 & 10 \\ \end{array}$$

Earliest deadline Increasing order of deadline d(i).

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Shortest length Increasing order of length t(i). Ignores deadlines completely!

Shortest job may have a very late deadline.

$$\begin{array}{c|ccc} i & 1 & 2 \\ \hline t(i) & 1 & 10 \\ \hline d(i) & 100 & 10 \\ \end{array}$$

Shortest slack time Increasing order of d(i) - t(i). Job with smallest slack may take a long time.

$$\begin{array}{c|cccc} i & 1 & 2 \\ \hline t(i) & 1 & 10 \\ \hline d(i) & 2 & 10 \\ \end{array}$$

Earliest deadline Increasing order of deadline d(i). Correct? Does it make sense to tackle jobs with earliest deadlines first?

Minimising Lateness: Earliest Deadline First

```
Order the jobs in order of their deadlines

Assume for simplicity of notation that d_1 \leq \ldots \leq d_n

Initially, f = s

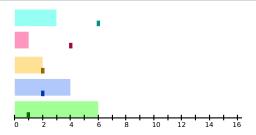
Consider the jobs i = 1, \ldots, n in this order

Assign job i to the time interval from s(i) = f to f(i) = f + t_i

Let f = f + t_i

End

Return the set of scheduled intervals [s(i), f(i)] for i = 1, \ldots, n
```



Minimising Lateness: Earliest Deadline First

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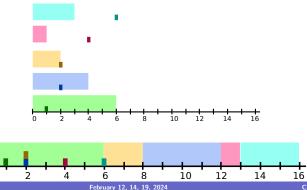
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CS 4104: Greed is Good

T. M. Murali

0

Minimising Lateness: Earliest Deadline First

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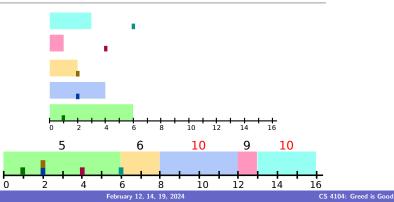
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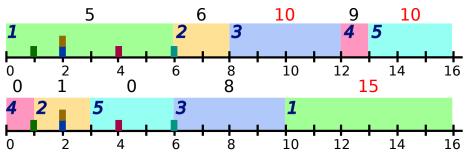
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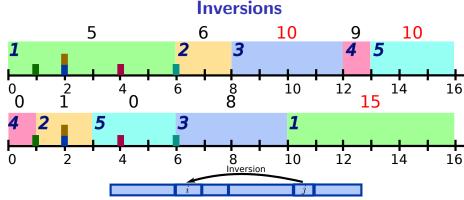
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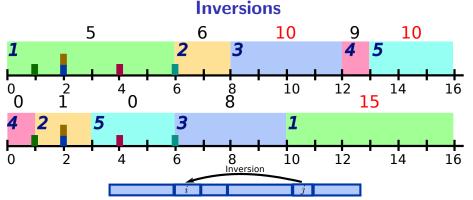
Inversions





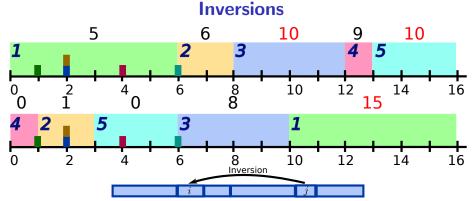
d(j) < d(i) but s(i) < s(j)

- A schedule has an *inversion* if a job *i* with deadline d(i) is scheduled before a job *j* with an earlier deadline d(j), i.e., d(j) < d(i) and s(i) < s(j).
 - ▶ If *i* and *j* have the same deadlines, they cannot cause an inversion.
 - Examples: Lectures 7-9: Greedy scheduling: Inversions in Example



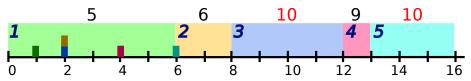
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 - Examples: 2 and 1, 3 and 1, 4 and 1, 5 and 1, 4 and 2, 4 and 3, 5 and 3.

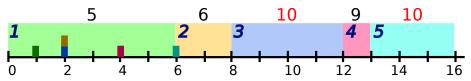


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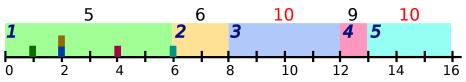
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 - ► If *i* and *j* have the same deadlines, they cannot cause an inversion.
 - Examples: 2 and 1, 3 and 1, 4 and 1, 5 and 1, 4 and 2, 4 and 3, 5 and 3.
- Claim: If a schedule has an inversion, then there is a pair of *consecutive* jobs with an inversion, i.e., there are jobs *i* and *j* such that *j* is scheduled immediately after *i* and d(j) < d(i).



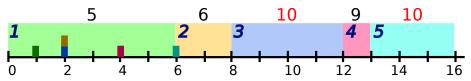
• Claim 1: The algorithm produces a schedule with no inversions and no idle time.



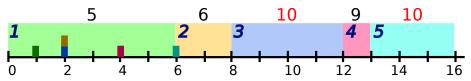
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- Claim 2: All schedules with no inversions and no idle time have the same lateness.



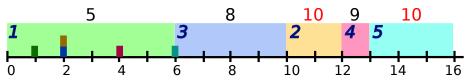
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 - Case 1: All jobs have distinct deadlines (not the case in the example above).
 Lectures 7-9: Greedy scheduling: Number of schedules with no inversion



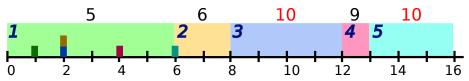
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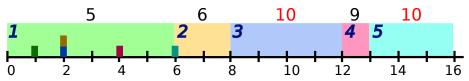
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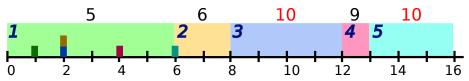
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- Claim 3: There is an optimal schedule with no idle time.

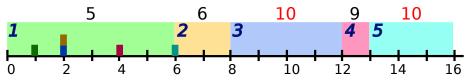


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- Claim 3: There is an optimal schedule with no idle time.
- Claim 4: There is an optimal schedule with no inversions and no idle time.



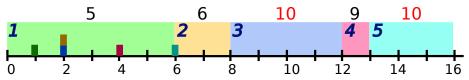
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Properties of Schedules



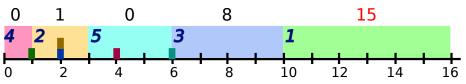
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- Claim 5: The greedy algorithm produces an optimal schedule.

Properties of Schedules



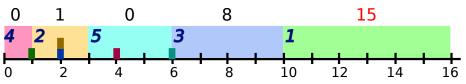
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- Claim 3: There is an optimal schedule with no idle time.
- Claim 4: There is an optimal schedule with no inversions and no idle time. ?!
- Claim 5: The greedy algorithm produces an optimal schedule. Follows from Claims 1, 2 and 4.

Proving Claim 4 n • Claim 4: There is an optimal schedule with no inversions and no idle time.



• Claim 4: There is an optimal schedule with no inversions and no idle time.

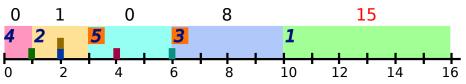
• Proof: Start with an optimal schedule *O* (that may have inversions) and use an *exchange argument* to convert *O* into a schedule that satisfies Claim 4 and has lateness not larger than *O*.



• Claim 4: There is an optimal schedule with no inversions and no idle time.

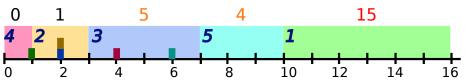
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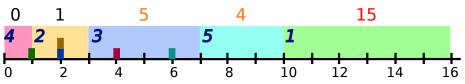
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 - If O has an inversion, let i and j be consecutive inverted jobs in O. After swapping i and j, we get a schedule O' with one less inversion.



• Claim 4: There is an optimal schedule with no inversions and no idle time.

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 - **2** Claim: The lateness of O' is no larger than the lateness of O.



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- It is enough to prove the last item, since after ⁿ₂ swaps, we obtain a schedule with no inversions whose lateness is no larger than that of O.

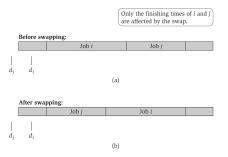


Figure 4.6 The effect of swapping two consecutive, inverted jobs.

• In O, assume each job r is scheduled for the interval [s(r), f(r)] and has lateness l(r). For O', let the lateness of job r be l'(r).

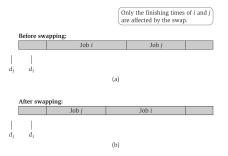


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$$l'(k) = l(k)$$
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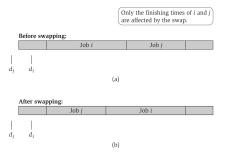


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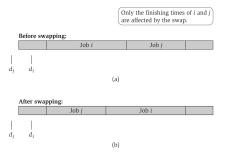


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- Claim: $l'(j) \leq l(j)$.
- Claim: $I'(i) \leq I(j)$

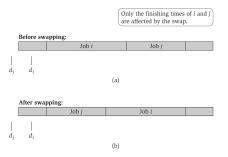


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- Claim: l'(k) = l(k), for all $k \neq i, j$.
- Claim: $l'(j) \leq l(j)$.
- Claim: $l'(i) \le l(j)$ because $l'(i) = f(j) d_i \le f(j) d_j = l(j)$.

• Think of a schedule as a 2D point: *x*-coordinate is the number of inversions in the schedule and *y*-coordinate is the lateness of the schedule.

- Think of a schedule as a 2D point: x-coordinate is the number of inversions in the schedule and y-coordinate is the lateness of the schedule.
- Where does the schedule A produced by the algorithm lie?

- Think of a schedule as a 2D point: x-coordinate is the number of inversions in the schedule and y-coordinate is the lateness of the schedule.
- Where does the schedule *A* produced by the algorithm lie? Somewhere on the *y*-axis since it has no inversions, say (0, *I*_A).
- Solution Where does some other schedule B with no inversions lie?

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- Find an inversion in X and then isolate the inversion to be between consecutive jobs in X.
- Swap the jobs to get a new schedule X_{i-1} . Where does X_{i-1} lie?

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- **(2)** Repeat until we have X_1 with one inversion at $(1, I_X)$ or "below", where $I_X < I_A$.
- **(3)** Repeat one more step: X_0 has no inversions. What is X_0 's location?

- Think of a schedule as a 2D point: x-coordinate is the number of inversions in the schedule and y-coordinate is the lateness of the schedule.
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- Repeat one more step: X₀ has no inversions. What is X₀'s location? (0, I_X) or "below" because of #7 and (0, I_A) because of #3.

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- Repeat one more step: X₀ has no inversions. What is X₀'s location? (0, I_X) or "below" because of #7 and (0, I_A) because of #3.
- We have a contradiction!
- Lateness of A cannot be larger than that of O!

Common Mistakes with Exchange Arguments

- Wrong: start with algorithm's schedule A and argue that A cannot be improved by swapping two jobs.
- Correct: Start with an arbitrary schedule *O* (which can be the optimal one) and argue that *O* can be converted into the schedule that is essentially the same as the one the algorithm produces without increasing the lateness.

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- Wrong: Swap two jobs that are not neighbouring in *O*. Pitfall is that the completion times of all intervening jobs changes.
- Correct: Show that an inversion exists between two neighbouring jobs and swap them.

Summary

- Greedy algorithms make local decisions.
- Three analysis strategies:

Greedy algorithm stays ahead Show that after each step in the greedy algorithm, its solution is at least as good as that produced by any other algorithm.

- Structural bound First, discover a property that must be satisfied by every possible solution. Then show that the (greedy) algorithm produces a solution with this property.
- Exchange argument Transform the optimal solution in steps into the solution by the greedy algorithm without worsening the quality of the optimal solution.